

# Lecture 1 (22-08-2022)

22 August 2022 10:43

## Lee's - Introduction to Smooth Manifolds.

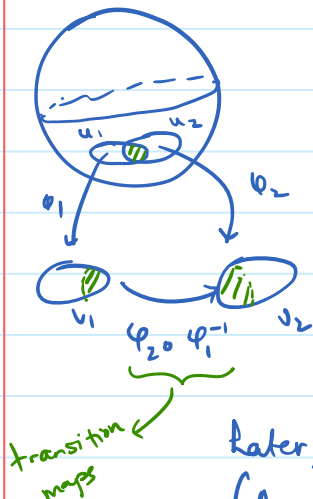
<http://www.math.utah.edu/~wortman/6510.pdf>

- Weekly homeworks (Friday - Friday)
- 1 Midterm
- 1 Final (Similar to Quads)

X ————— X

Def<sup>n</sup>. A (topological)  $n$ -manifold is a Hausdorff, second-countable topological space  $M$  with the following property:  
for every  $p \in M$ ,  $\exists$  nbd  $U \subseteq M$  of  $p$ ,  $\exists V \subseteq \mathbb{R}^n$  nbd and a homeomorphism  $\varphi: U \rightarrow V$ .

Compatibility? Suppose that  $\varphi_i: U_i \rightarrow V_i$  ( $i=1,2$ ) are homeomorphisms as mentioned above



Note:  $\varphi_2 \circ \varphi_1^{-1}$  is a homeomorphism.

↓  
defined on  $\varphi_1(U_1 \cap U_2)$

↓  
was not part of any definition!

later, we will need to talk about diffeomorphisms.

(As of now, makes no sense to talk about  $\varphi_1, \varphi_2$  being diffeos.)

## Lecture 2 (24-08-2022)

Wednesday, August 24, 2022 10:44 AM

Recall:  $F: U \rightarrow \mathbb{R}^m$  is **differentiable** at  $x \in \mathbb{R}^n$  if  $\exists L: \mathbb{R}^n \rightarrow \mathbb{R}^m$  linear s.t.

$$\lim_{v \rightarrow 0} \frac{f(x+v) - f(x) - L(v)}{\|v\|} = 0.$$

We denote  $L = Df(x)$ .

We say  $f$  is **continuously differentiable** if  $f$  is differentiable at every  $x \in U$  and  $x \mapsto Df(x)$  is continuous. (Note: the space of linear transforms  $\mathbb{R}^n \rightarrow \mathbb{R}^m$  has a natural topology.)

Thm.  $F$  is continuously differentiable  $\iff$  every partial derivative of  $F$  exists and is continuous.

Thm. (Inverse Function Theorem)

Suppose  $U \subseteq \mathbb{R}^n$  open and  $F: U \rightarrow \mathbb{R}^n$  is differentiable in a nbd of  $x$  such that  $Df$  is continuous and invertible at  $x$ .

Then,  $F$  is invertible on a nbd of  $x$  and

$$D(F^{-1})(F(x)) = (Df(x))^{-1}.$$

Defn. Let  $U, V \subseteq \mathbb{R}^n$  be open sets.

$F: U \rightarrow V$  is a **diffeomorphism** if

- $F$  is a bijection,
- $F$  and  $F^{-1}$  are continuously differentiable.

Non-example:  $x \mapsto x^3$  is a cont. diff. bijection from  $\mathbb{R}$  to  $\mathbb{R}$ .  
But the inverse is not diff at 0.

Corollary If  $U \subseteq \mathbb{R}^n$  is open,  $F: U \rightarrow \mathbb{R}^n$  is a bijection onto its image,  $F$  is  $C^\infty$ , and  $DF(x)$  is invertible for all  $x \in U$ , then  $F$  is a diffeo onto its image.

Thm (Chain Rule)

If

$$\mathbb{R}^n \xrightarrow{F} \mathbb{R}^m \xrightarrow{G} \mathbb{R}^d$$

are differentiable, then  $G \circ F$  is differentiable and

$$D(G \circ F)(x) = DG(F(x)) \circ DF(x),$$

for  $x \in \mathbb{R}^n$ .

(Can write a local version...)

---

Recall. A topological  $n$ -manifold is a space  $M$  which is  $\emptyset$  Hausdorff.

- ② second-countable,
- ③ locally Euclidean.

Defn. Let  $M$  be a topological  $n$ -manifold. An atlas of charts is any collection

$$\mathcal{A} = \{ \underbrace{(U_\alpha, \varphi_\alpha)}_{\text{chart}}, \alpha \}$$

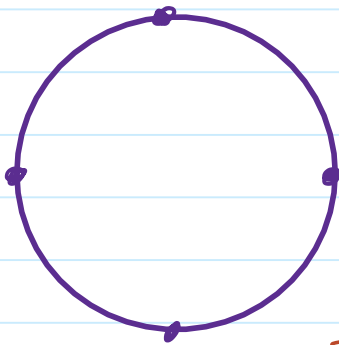
Here,  $U_\alpha \subseteq M$  are opens and  $\varphi_\alpha: U_\alpha \rightarrow \mathbb{R}^n$  are homeo onto the image.

and  $\varphi_\alpha: U_\alpha \rightarrow \mathbb{R}^n$  are homeo onto the image.

such that  $\bigcup_\alpha U_\alpha = M$ .

The atlas is **smooth** if  $\varphi_\alpha \circ \varphi_\beta^{-1}: \varphi_\beta(U_\alpha \cap U_\beta) \rightarrow \varphi_\alpha(U_\alpha \cap U_\beta)$  are  $C^\infty$  (diffeos) whenever  $U_\alpha \cap U_\beta \neq \emptyset$ .  
 $\downarrow$   
 follows automatically

Example. The following is a smooth atlas for  $S^1$ .



$$\begin{aligned} U_{h,+} &= \{x \in S^1 : x_1 > 0\}, \\ U_{h,-} &= \{x \in S^1 : x_1 < 0\}, \\ U_{v,+} &= \{x \in S^1 : x_2 > 0\}, \\ U_{v,-} &= \{x \in S^1 : x_2 < 0\}. \end{aligned}$$

The inverse of the  $\varphi$ s are given as:

$$\begin{aligned} \varphi_{h,+}^{-1}(t) &= (\cos t, \sin t) & t \in (-\pi/2, \pi/2), \\ \varphi_{h,-}^{-1}(t) &= (\cos t, \sin t) & t \in (\pi/2, 3\pi/2), \\ \varphi_{v,+}^{-1}(t) &= (\cos t, \sin t) & t \in (0, \pi), \\ \varphi_{v,-}^{-1}(t) &= (\cos t, \sin t) & t \in (\pi, 2\pi). \end{aligned}$$

Check  $\varphi_\alpha \circ \varphi_\beta^{-1}$  is smooth whenever they are overlaps. They will either be the id or  $\text{id} \pm 2\pi$ .

Defn. A smooth atlas is called **maximal** if it is not properly contained in any smooth atlas. A maximal atlas is also called a **smooth structure**.

Propn. (1.17. in Lee) If  $\mathcal{A}$  is a smooth atlas on  $M$ , there is a unique smooth structure on  $M$  containing  $\mathcal{A}$ .

Prop. (1.17. in Lec) If  $\mathcal{A}$  is a smooth atlas on  $M$ , there is a unique smooth structure on  $M$  containing  $\mathcal{A}$ .

Proof Suppose  $\mathcal{A}$  is a smooth atlas on  $M$ .

Let  $\bar{\mathcal{A}}$  denote the set of charts  $(U, \varphi)$  such that if  $U \cap U_\alpha \neq \emptyset$  (for some  $U_\alpha \in \mathcal{A}$ ), then  $\varphi_\alpha \circ \varphi^{-1}$  is an appropriate diffeo.

$\bar{\mathcal{A}}$  is the desired maximal smooth structure. (Def...)  $\square$

# Lecture 3 (26-08-2022)

Friday, August 26, 2022 10:42 AM

Recall: A **topological n-manifold** is

- (1) Hausdorff,
- (2) second countable,
- (3) locally n-Euclidean.

- A **smooth atlas** is a collection of charts covering  $M$  with  $C^\infty$  transition maps.
- A **smooth structure** is a maximal smooth atlas (can always be constructed uniquely from a smooth atlas).

Warning: Manifolds forget a lot of information from their natural embedding in  $\mathbb{R}^n$ . [one goal: any manifold can be embedded in some  $\mathbb{R}^N$ .]  
Examples of things not remembered: distances, directions (latitude, longitude, etc.)

- What is remembered in: which functions are smooth.
- Tangent spaces

Def: Let  $M = (M, \mathcal{A})$  be a smooth n-manifold. A **k-foliation** on  $M$  is determined by a special <sup>smooth</sup> atlas of charts  $\mathcal{F}$  such that

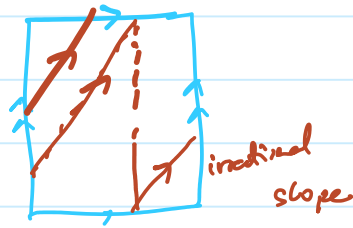
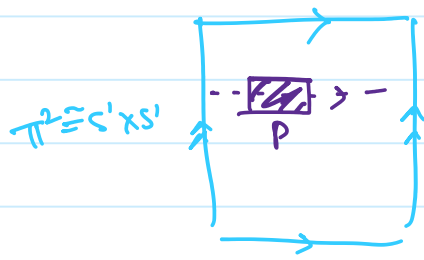
$$\varphi, \psi \in \mathcal{F} \Rightarrow \forall x \in \mathbb{R}^{n-k} \exists y \in \mathbb{R}^{n-k} \text{ s.t. } (\varphi \circ \psi^{-1})(\mathbb{R}^k \times \{x\}) \subseteq \mathbb{R}^k \times \{y\}.$$

$\left[ \begin{array}{l} \varphi: U \rightarrow \mathbb{R}^k \times \mathbb{R}^{n-k} \\ \psi: V \rightarrow \mathbb{R}^k \times \mathbb{R}^{n-k} \end{array} \right]$

$\psi^{-1}(\mathbb{R}^k \times \{y\})$  is a local leaf

for  $p \in M$ :  $L(p) =$  collection of points

for  $p \in M$ :  $L(p) =$  collection of points reached through paths contained in local leaves.



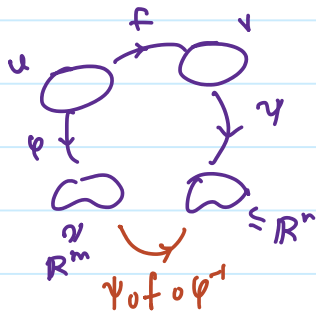
# Lecture 4 (29-08-2022)

Monday, August 29, 2022 10:36 AM

Def<sup>n</sup>

Let  $M, N$  be manifolds.

A continuous map  $f: M \rightarrow N$  is called **smooth** (or  $C^\infty$ ) if for any pair of charts  $(U, \varphi)$  and  $(V, \psi)$  for  $M$  and  $N$ , respectively such that  $f(U) \subseteq V$ , we have that



$\psi \circ f \circ \varphi^{-1}$  is  $C^\infty$ .

(This makes sense since this map is between open subsets of Euclidean space.)

Alt. def<sup>n</sup>

For every  $p \in M$ ,  
•  $\exists$  chart  $(U, \varphi)$  s.t.  $p \in U$ ,  
•  $\exists$  chart  $(V, \psi)$  s.t.  $f(p) \in V$ ,  
 $f(U) \subseteq V$  and  $\psi \circ f \circ \varphi^{-1}$  is  $C^\infty$ .

Def<sup>n</sup>

$f$  is a diffeomorphism if

- $f$  is bijective,
- $f$  is  $C^\infty$ ,
- $f^{-1}$  is  $C^\infty$ .

} Concept of isomorphism in the category of smooth manifolds

Remark.

Whenever  $M$  is a smooth manifold with smooth structure  $\mathcal{S}$  and  $f: M \rightarrow N$  is a homeo,

$$f_* (\mathcal{S}) = \{ (f(U), \varphi \circ f^{-1}) : (U, \varphi) \in \mathcal{S} \}$$

is a smooth structure on  $N$ .



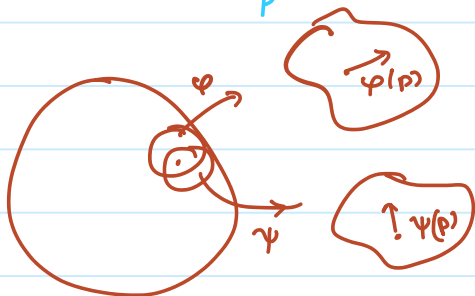
# Tangent Spaces

Def<sup>n</sup>. Let  $M$  be a smooth manifold, and  $p \in M$ .  
Let  $(U, \varphi)$  be a chart containing  $p$ .

$$\text{let } T_p^p := T_{\varphi(p)}(\mathbb{R}^n) := \mathbb{R}^n.$$

$$\text{Finally } T_p(M) := \coprod_{\varphi} T_p^{\varphi} M / \sim$$

$$\underbrace{V}_{T_p^{\varphi}} \sim \underbrace{W}_{T_p^{\psi}} \iff D(\psi \circ \varphi^{-1})(\varphi(p))(v) = w.$$



Remark.  $T_p M$  is a vector space and isomorphic to  $\mathbb{R}^n$ .

Def<sup>n</sup>. The tangent bundle is the set  $TM := \coprod_{p \in M} T_p M$ .

Next week's Hw:  $TM$  has a canonical smooth manifold structure induced from  $M$ .

$TM$  is a "vector bundle".

induced from  $M$

- $TM$  is a "vector bundle".
- $TM$  is not always diffeomorphic to  $M \times \mathbb{R}^n$ .  
(If so, then the manifolds are called "parallelizable".)

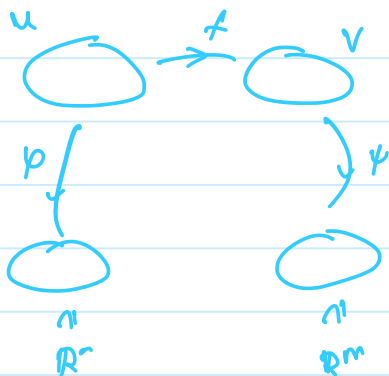
Defn.

If  $f: M \rightarrow N$  is a  $C^\infty$  function, and  $p \in M$ , then

$$Df(p) : T_p M \rightarrow T_{f(p)} N$$

is the linear transformation given by

$$Df(p) = D(\psi \circ f \circ \varphi^{-1}) \text{ acting on } T_p^\varphi(M).$$



Claim:  $Df(p)$  is well defined.

Proof Let  $\hat{\varphi}$  and  $\hat{\psi}$  be different charts s.t. ...

$$v \in T_p^\varphi M \sim D(\hat{\varphi} \circ \varphi^{-1})(\varphi(p))(v) \stackrel{!!}{=} \hat{v}$$

To show:  $D(\psi \circ f \circ \varphi^{-1})(\varphi(p))(v)$

$$= D(\hat{\psi} \circ f \circ \hat{\varphi}^{-1})(\hat{\varphi}(p))(\hat{v})$$

$$w := D(\psi \circ f \circ \varphi^{-1})(\varphi(p))(v) = D(\psi \circ f \circ \hat{\varphi}^{-1})(\hat{\varphi}(p))(\hat{v}) \quad \left. \begin{array}{l} \\ \end{array} \right\} \text{chain rule}$$

$$\hat{w} := D(\hat{\psi} \circ f \circ \hat{\varphi}^{-1})(\hat{\varphi}(p))(\hat{v}) \sim w$$

## Manifolds & (Discrete) Group Actions

$\Gamma \rightsquigarrow$  group (countable)

$M \rightsquigarrow$  smooth manifold

$\alpha : \Gamma \longrightarrow \text{Diff}^\infty(M)$   $= \text{Aut}(M)$   
all  $C^\infty$  diffeos from  $M$  to  $M$   
(composition)  
group homomorphism

We denote the above by  $\alpha : \Gamma \curvearrowright M$  or  $\Gamma \curvearrowright M$ .

Ex:  $\cdot \mathbb{R}^2 \curvearrowright \mathbb{R}^2$   
 $v \mapsto T_v$

$$T_v(x) = x + v.$$

(We will restrict to countable groups though.)

$\cdot$  Similarly  $\mathbb{Z} \curvearrowright \mathbb{R}$ ,  $n \mapsto T_n$ .

Defn If  $\Gamma \curvearrowright M$ , then the orbit of  $x \in M$  is given by

$$\Gamma \cdot x = \{ \gamma(x) : \gamma \in \Gamma \}.$$

If  $\Gamma \cdot x$  is discrete  $\forall x$ , then the action is said to be discrete.

Idea: Think about points of  $M/\Gamma$  as orbits of  $\Gamma$  in  $M$ .

Defn If  $\Gamma \curvearrowright X$ , then the stabiliser of  $x \in M$  is the subgroup

$$\text{Stab}_\Gamma(x) = \{ \gamma \in \Gamma : \gamma \cdot x = x \}.$$

The action is free if  $\text{Stab}_\Gamma(x) = \{ e_\Gamma \}$  for all  $x \in X$ .

Examples The earlier examples of translation were free.

Defn A group action  $\Gamma \curvearrowright M$  is called properly discontinuous if  $\forall p \in M \exists U$  nbd of  $p$  s.t.

$$(g \cdot U) \cap U \neq \emptyset \Leftrightarrow g = e_{\Gamma}$$

Remark: Properly discontinuous  $\Rightarrow$  free + discrete

free  $\Rightarrow$  faithful.

Example: Let  $\mathbb{Z}/4 \curvearrowright \mathbb{R}^2$  by rotation.  
 $\hookrightarrow$  Faithful, not free (origin fixed).

Q: free + discrete  $\stackrel{?}{\Rightarrow}$  properly discontinuous

Theorem: If  $\Gamma \curvearrowright M$  properly discontinuously, then  $(\Gamma \rightarrow \text{Diff}^0 M)$

$$M/\Gamma = \{ \Gamma \cdot x : x \in M \}$$

inherits a smooth structure from  $M$ .

Furthermore,  $\dim(M/\Gamma) = \dim(M)$ .

Proof: Fix  $[x] \in M/\Gamma$ .  
 Choose a nbd  $U \subseteq M$  of  $x$  as given by  $\Gamma$  acting prop. disc.  
 By shrinking  $U$  as necessary, we can assume  $U$  is the domain of a chart  $(U, \varphi)$ .

If  $[y] \cap U \neq \emptyset$ , then  $\exists! y \in [y]$  s.t.  $y \in U$ .  
 Thus, we get the following map:

$$\begin{aligned} \bar{\varphi}: [u] &\longrightarrow \mathbb{R}^n \\ [y] &\longmapsto y \end{aligned} \quad (\bar{\varphi}[y] = [y] \cap U)$$

$$[u] := \{ [y] : [y] \cap U \neq \emptyset \}$$

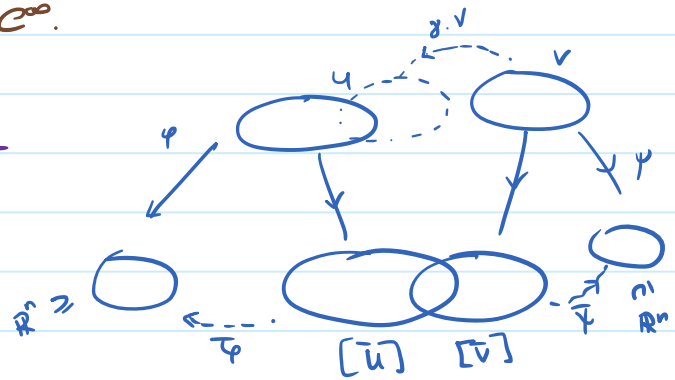
Claim: If  $\bar{\varphi}$  and  $\bar{\psi}$  are charts constructed as above, and their domains  $[u]$  and  $[v]$  intersect, then

$$\bar{\varphi} \circ \bar{\psi}^{-1} \text{ is } C^\infty.$$

g.v

and their domains  $[u]$  and  $[v]$  intersect, then  $\bar{\varphi} \circ \bar{\psi}^{-1}$  is  $C^\infty$ .

Proof. Choose lifts  $u$  and  $v$  of  $[u]$  and  $[v]$  in  $M$ .  
 Since  $[u] \cap [v] = \emptyset$ ,  
 $(\pi \cdot u) \cap v \neq \emptyset$ .



Choose  $\gamma \in \Gamma$  s.t.  $(\gamma \cdot u) \cap v \neq \emptyset$ .

Claim:  $[\gamma \cdot (u \cap v)] = [u] \cap [v]$ .  $\square$

Then,  $\bar{\varphi} \circ \bar{\psi}^{-1} = \varphi \circ \gamma \circ \psi^{-1}$  which is smooth.  $\square$

We are now done.  $\square$

Examples ①  $\mathbb{R}^n / \mathbb{Z}^n \rightarrow n\text{-torus}$

②  $F: (-1, 1) \times \mathbb{R} \rightarrow (-1, 1) \times \mathbb{R}$   
 $(t, s) \mapsto (-t, s + t)$

$\frac{(-1, 1) \times \mathbb{R}}{\langle F \rangle} \rightarrow \text{Möbius strip}$



③  $S^n / \{\pm \text{id}\} = \mathbb{R}P^n$

# Lecture 6 (02-09-2022)

02 September 2022 10:45

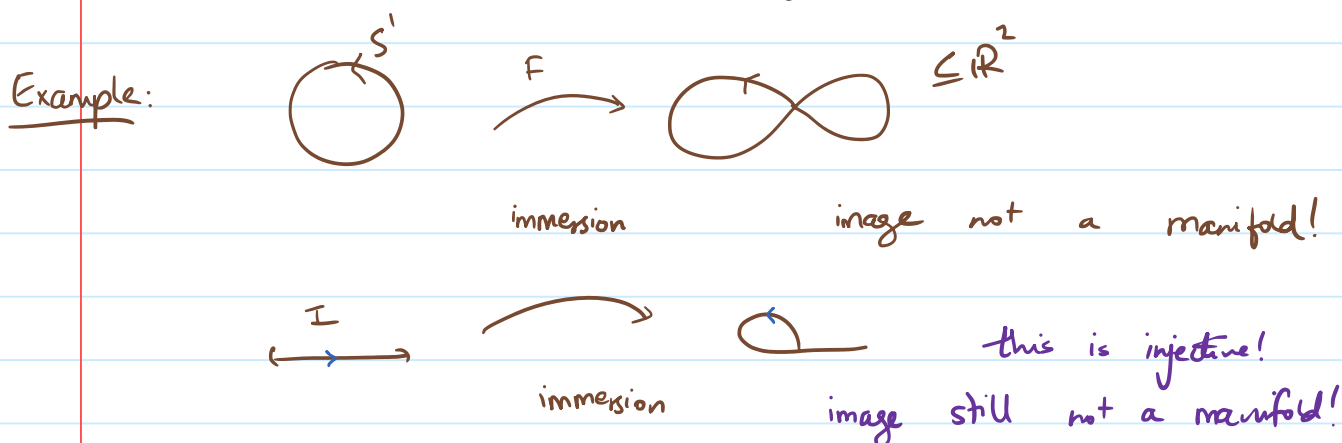
## Inheriting smooth structures: Conditions on differentials

$F: M \rightarrow N$  is a  $C^\infty$  map.

- 1)  $F$  is an **immersion** if  $DF(x)$  is injective for all  $x \in M$ .
- 2)  $F$  is a **submersion** if  $DF(x)$  is surjective for all  $x \in M$ .
- 3)  $F$  is a **local diffeomorphism** if it is both.

Remark. Inv. FT gives that "local diffeos are local diffeos."

Defn A subset  $M \subseteq N$  is called an **immersed submanifold** if it is the image of an immersion. If the map is a homeomorphism onto its image,  $M$  is called **embedded**.



## Submersion

Submersion Theorem: let  $f: M \rightarrow N$  be a submersion.

Then, for for all  $y \in N$ ,  $F^{-1}(y)$  is an embedded submanifold.  
 $\dim(F^{-1}(y)) = \dim(M) - \dim(N)$ . (Proof next week.)

# Lecture 7 (07-09-2022)

Wednesday, September 7, 2022 10:38 AM

- ①  $X \times Y \rightarrow X, (x, y) \mapsto x$  submersion ✓
- ②  $\mathbb{R}^2 \rightarrow \mathbb{R}, (x, y) \mapsto x^2 - y^2$  not submersion  
(because of  $(0, 0)$ )
- ③  $X = S^3 \subseteq \mathbb{C}^2 \rightarrow Y = S^2 \subseteq \mathbb{C} \times \mathbb{R}$  also a submersion ✓  
 $(z_1, z_2) \mapsto (2z_1\bar{z}_2, |z_1|^2 - |z_2|^2)$  (a Hopf fibration)

Defn.

Let  $p: X \rightarrow Y$  be a  $C^\infty$  map.

$y \in Y$  is a **regular value** if  $p$  is a submersion at  $x$  for all  $x \in p^{-1}(y)$ .

Theorem.

If  $\pi: X \rightarrow Y$  is a submersion, then for every  $y \in Y$ ,  $\pi^{-1}(y)$  is a (n embedded) submanifold and forms the leaves of a foliation.

(For just the "embedded submanifold" part, it suffices for  $\pi$  to be  $C^\infty$  and  $y$  a regular value.)

Proof.

By working in coordinates, it suffices to prove that if  $p: U \rightarrow \mathbb{R}^m, U \subseteq \mathbb{R}^n$  is  $C^\infty$  s.t.  $D_p(x)$  is onto for all  $x \in U$ , then  $\{p^{-1}(y) : y \in p(U)\}$  is a foliation of  $U$ .

Fix  $x \in U$ , and let  $L_x = \ker(D_p(x))$ .

$\because D_p(x)$  is onto,  $\dim(L_x) = n - m$ .

Choose a chart  $\varphi$  at  $x$  s.t.  $L_x = \text{span}\{e_{m+1}, \dots, e_n\}$ .

Define  $G(y) = (p(y), \pi(y)), G: U \rightarrow \mathbb{R}^m \times \mathbb{R}^{n-m}$   
where  $\pi(y_1, \dots, y_n) = (y_{m+1}, \dots, y_n)$ .

open  $\pi(y_1, \dots, y_n) = (y_{m+1}, \dots, y_n)$ .

$$DG(x) = \begin{pmatrix} \begin{matrix} \times \\ \text{invertible} \end{matrix} & \begin{matrix} 0 \\ \vdots \\ 0 \end{matrix} \\ \begin{matrix} \vdots \\ \vdots \\ 0 \end{matrix} & \begin{matrix} I \\ \vdots \\ I \end{matrix} \end{pmatrix}$$

$H: V \in \mathbb{R}^m \times \mathbb{R}^{n-m} \rightarrow \tilde{U} \subseteq U$

Thus,  $\det(DG(x)) \neq 0$  and  $DG$  is inv. at  $x$ .  
 By  $C^\infty$ -ness,  $DG$  is inv. on a nbd of  $x$ .  
 By Inverse Funct. Theorem,  $\exists$  local inverse  $H$ ,  
 which will be our desired foliation chart.

Claim:  $H(\{y\} \times \mathbb{R}^{n-m}) = \{x \in \tilde{U} : p(x) = y\}$ .

Proof: (1)  $x \in H(\{y\} \times \mathbb{R}^{n-m})$   
 $\Rightarrow G(x) \in \{y\} \times \mathbb{R}^{n-m}$   
 $\Rightarrow p(x) = y$ .

(2)  $p(x) = y \Rightarrow G(x) = (y, *)$   
 $\Rightarrow H(G(x)) = H(y, *)$   
 $\parallel$   
 $x$

$\square$

# Sard's Theorem

Measures of critical/regular values.

will come to this later...

Key application: immersed non-open manifold will have zero measure.



# Vector Fields

$$TM = \bigsqcup_{p \in M} T_p M.$$

$$\pi: TM \longrightarrow M$$

$$\pi(v) = \text{basepoint of } v.$$

Example.  $TS^1 \xrightarrow{\text{diffeo}} \mathbb{R} \times S^1$   
 $TS^2 \not\cong \mathbb{R}^2 \times S^2$

Smooth structure on  $TM$   
defined in HW.

Defn. If  $M$  is a manifold, a **vector field** is a  $C^\infty$  section  
 $X: M \longrightarrow TM$ , i.e.,  $\pi(X(p)) = p \quad \forall p \in M$ .

Things to do with vector fields:

- ① Integrate them (flows)
- ② Lie Brackets (infinitesimal commutator of flows)
- ③ Closed subalgebras (lie group actions)
- ④ Frobenius theorem (detect foliations through subbundles)

# Smooth Vector Bundles

Defn A smooth  $n$ -dimensional vector bundle  $\xi^n$  is a triple

$$\xi = (E, B, p),$$

↗ base  
↙ total space

where  $E$  and  $B$  are smooth manifolds,  $p: E \rightarrow B$  is a smooth map, and each fiber  $\xi_b = p^{-1}(b)$  is equipped with the structure of a (real) vector space of dimension  $n$  s.t. the following local triviality condition holds:

- every  $b \in B$  has a nbd  $U$  and there is a diffeomorphism

$$\bar{\Phi}: p^{-1}(U) \rightarrow U \times \mathbb{R}^n$$

s.t.

$$\begin{array}{ccc}
 p^{-1}(U) & \xrightarrow{\bar{\Phi}} & U \times \mathbb{R}^n \\
 \downarrow p|_{p^{-1}(U)} & & \downarrow \text{pr}_1 \\
 U & & U
 \end{array}$$

commutes

and  $\bar{\Phi}: p^{-1}(U) \rightarrow \{x\} \times \mathbb{R}^n$   
 is a vector space isomorphism for all  $x \in U$ .

Exercise.  $p$  above is a submersion. (Check locally using trivializing nbd.)

minimizing nbd.)

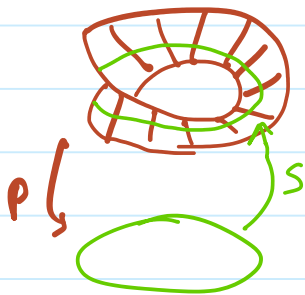
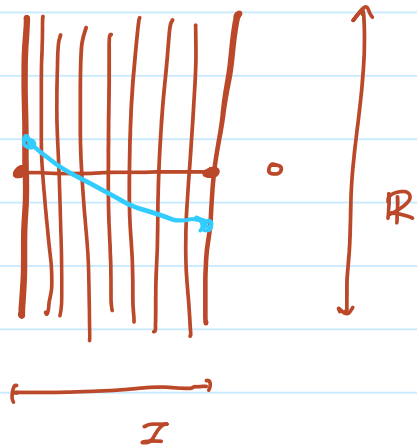
Examples: ① Trivial bundle,  $E = B \times \mathbb{R}^n$ .

Take  $p$  is projection.  
 $U = B$  for all points.

② Start with  $I \times \mathbb{R}$ .

$$\text{Let } E = I \times \mathbb{R} / (0, t) \sim (1, -t).$$

$$B = (0, 1) / 0 \sim 1 \approx S^1$$



Def<sup>n</sup>: A **section** of a vector bundle  $\xi = (E, B, p)$  is a smooth map  $s: B \rightarrow E$  so that  $p \circ s = \text{id}_B$ .

Example: Zero section:  $s(b) := 0 \in \xi_b$ .

Check this is smooth. (local again!)

Def<sup>n</sup>: Sections  $s_1, \dots, s_k$  of  $\xi$  are linearly independent if for all  $b \in B$ :  $s_1(b), \dots, s_k(b)$  are lin. indep. in  $\xi_b$ .

Example:  $B \times \mathbb{R}^n \rightarrow B$  has  $n$  lin. indep. sections:

$$s_i: B \rightarrow B \times \mathbb{R}^n$$

$$b \mapsto (b, e_i).$$

Def<sup>n</sup>: Two bundles  $\xi, \xi'$  over the same base are said

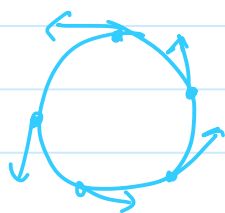
Defn. Two bundles  $\xi, \xi'$  over the same base are said to be **isomorphic** if  $\exists$  diffeo  $\Phi: E(\xi) \xrightarrow{\sim} E(\xi')$  s.t.

$$\Phi|_{\xi_b} : \xi_b \rightarrow \xi'_b \text{ is an iso of vector spaces } \forall b \in B.$$

• A bundle is said to be **trivial** if it is isomorphic to the trivial bundle.

Lemma.  $p: E \rightarrow B$   $n$ -dim'l bundle.  
 $p$  is trivial  $\iff p$  has  $n$ -linearly independent sections.

Example. For 1-dim'l, this just means a nonzero section.



TS is trivial.

Proof of Lemma.  $(\implies)$  is clear (since trivial bundle has  $n \dots$ ).

$(\impliedby)$  Let  $s_1, \dots, s_n$  be linearly independent sections.

Define

$$\Phi: B \times \mathbb{R}^n \rightarrow E$$

$$(b, (t_1, \dots, t_n)) \mapsto \sum_{i=1}^n t_i s_i(b) \in \xi_b.$$

$\Phi$  is smooth.  $\checkmark$

Bijection.  $\checkmark$  (Isomorphism of fibers.)

To check diffeo: we work in trivialising nbds.  
 By shrinking etc. assume  $B$  is a trivialising nbhd

to check diffeo: we work in trivialising nbd.  
 By shrinking etc, assume  $B$  is a trivialising nbd,  
 we may assume  $E \rightarrow B$  is also trivial,  
 i.e.  $E = B \times \mathbb{R}^n$ .

$$\bar{\Phi}(b, v) = (b, F(b)v),$$

where  $F: B \rightarrow GL_n(\mathbb{R})$  has columns  
 $S_1, \dots, S_n$ . So the coefficients of  $F$  are  
 smooth functions  $B \rightarrow \mathbb{R}$ .

Moreover,  $\bar{\Phi}^{-1}$  is smooth (we can compute  
 it explicitly and it comes to  $^{-1}: GL_n(\mathbb{R}) \rightarrow GL_n(\mathbb{R})$   
 being smooth). □

# Tangent Bundle

$X$ : <sup>(n-)</sup> manifold

Recall: A tangent vector  $v$  at  $x \in X$  is an equivalence  
 class of triples  $(U, \varphi, w)$ , where  $(U, \varphi)$  is  
 a chart around  $x$ , and  $w \in \mathbb{R}^n$ .

$$(U, \varphi, w) \sim (U', \varphi', w') \text{ if } D(\varphi' \circ \varphi^{-1})(\varphi(x))(w) = w'.$$

We write  $d\varphi(x) = w$ .

This collection is denoted  $T_x X$ . ↗ tangent space at  $x$

Def...  $TX = \coprod T_x X.$

Define  $TX = \coprod_{x \in X} T_x X.$

•  $p : TX \rightarrow X,$   
 $(x, v) \mapsto x.$   $\longrightarrow$  the tangent bundle!

Charts on TX: Homework.

Defn A vector field on  $X$  is a smooth section of the tangent bundle.

Thm  $TS^2$  is nontrivial; in fact, every vector field on  $S^2$  has a zero. (So, there is not even one lin. indep. section, let alone two.)

# Derivations

$X$ : smooth manifold

$C^\infty(X) = \{f: X \rightarrow \mathbb{R} \mid f \text{ smooth}\}$  is an algebra.

Defn A **derivation** at a point  $p \in X$  is a linear map

$$D: C^\infty(X) \rightarrow \mathbb{R}$$

s.t. 
$$D(fg) = (Df) \cdot g(p) + f(p) \cdot (Dg),$$

and if  $f \equiv g$  on a nbd of  $p$ , then  $D(f) = D(g)$ .  
The space of derivations is denoted  $\text{Der}(p)$ .

Example. If  $v \in T_p X$ , then  $\partial_v$  is a derivation.

Theorem 1. 
$$\begin{array}{ccc} T_p X & \longrightarrow & \text{Der}(p) \\ v & \longmapsto & \partial_v \end{array}$$
 is an isomorphism.

Lemma 2. Let  $U \subseteq \mathbb{R}^n$  be open and convex with  $0 \in U$ .

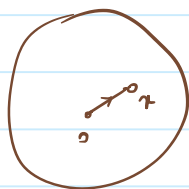
Let  $f: U \rightarrow \mathbb{R}$  be smooth with  $f(0) = 0$ .

Then,

$$f(x) = \sum_{i=1}^n x_i \cdot g_i(x), \quad \text{where } g_i: U \rightarrow \mathbb{R} \text{ are smooth,}$$

$$\text{and } g_i(0) = \frac{\partial f}{\partial x_i}(0).$$

Proof



Fix  $x \in U$ .

Define  $g: [0, 1] \rightarrow \mathbb{R}$  by  
 $g(t) = f(tx)$ .

Then,

$$g(1) - g(0) = \int_0^1 g'(t) dt$$



Then,

$$\begin{aligned}
 g(1) - g(0) &= \int_0^1 g'(t) dt \\
 \parallel & \parallel \\
 f(x) - f(0) &= \int_0^1 \sum_{i=1}^n x_i \frac{\partial f}{\partial x_i}(tx) dt \\
 \parallel & \parallel \\
 f(x) &= \sum_{i=1}^n x_i \underbrace{\left[ \int_0^1 \frac{\partial f}{\partial x_i}(tx) dt \right]}_{=: g_i(x)} \\
 \Rightarrow f(x) &= \sum_{i=1}^n x_i g_i(x). \quad \square
 \end{aligned}$$

Proof of Theorem 1 for  $X = \mathbb{R}^n$ : Can assume  $p = 0$ .

Note  $D(1 \cdot 1) = D(1) + D(1) \Rightarrow D(1) = 0$ .

$\therefore D(\text{constant}) = 0$  by linearity.

Let  $f \in C^\infty(X)$ .

By subtracting constant, we can assume  $f(0) = 0$ .

Then,

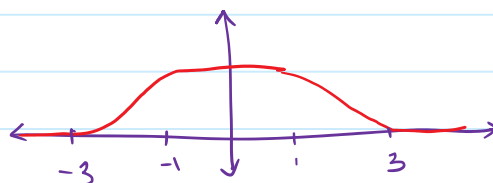
$$f(x) = \sum x_i g_i(x), \text{ as in Lemma 2.}$$

$$\begin{aligned}
 \Rightarrow Df &= \sum_{i=1}^n [D(x_i) g_i(0) + 0] \\
 &= \sum_{i=1}^n D(x_i) \cdot \frac{\partial f}{\partial x_i}(0).
 \end{aligned}$$

$$\text{Thus, } D = \alpha \nu, \text{ where } \nu = \sum_{i=1}^n D(x_i) \frac{\partial}{\partial x_i}(0).$$

This shows surjective. Injectivity is clear.  $\square$

Lemma:  $\exists p: \mathbb{R} \rightarrow \mathbb{R}$  smooth,  $p \geq 0$ ,  $p \equiv 0$  outside  $[-3, 3]$ ,  $p \equiv 1$  on  $[-1, 1]$ .

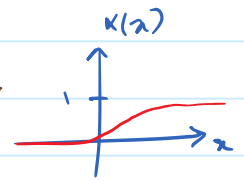




Proof

Define

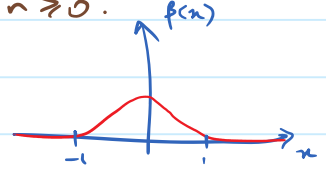
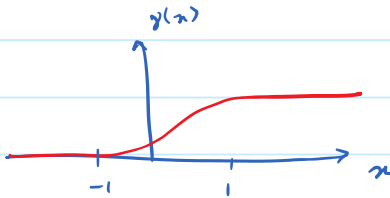
$$\alpha(x) = \begin{cases} e^{-x} & ; x > 0, \\ 0 & ; x \leq 0. \end{cases}$$



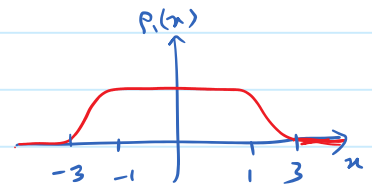
Then,  $\alpha \in C^\infty$  with  $\alpha^{(n)}(x) = 0 \quad \forall n \geq 0$ .

Put  $\beta(x) = \alpha(1+x)\alpha(1-x)$ .

$$\gamma(x) = \int_{-1}^x \beta.$$



$$p_1(x) = \gamma(2+x)\gamma(2-x)$$



$$p(x) = \text{scale } p_1.$$

□

Corollary

Let  $X$  be a manifold,  $U \subseteq X$  open,  $f: U \rightarrow \mathbb{R}$  smooth.  
 Let  $p \in U$ .  $\exists \tilde{f}: X \rightarrow \mathbb{R}$  smooth that agrees with  $f$  on a nbd of  $p$ .

Proof

After shrinking and rescaling, we may assume that there is a diffeo  $\varphi: U \rightarrow \varphi(U)$  s.t.  $\varphi(U) \subseteq \mathbb{R}^n$  is an open ball of radius  $\epsilon$ ,  $\varphi(p) = 0$ .



Then,  $\mu(x) := \rho(\|\varphi(x)\|)$  is a smooth function on  $U$ .

(Need to be careful since  $\|\cdot\|$  is

Now, extend  $\mu$  to  $X$  by  $\mu = 0$  outside  $U$

but here no problem

since  $\varphi$  is locally constant). □

Then,  $\tilde{f} = \mu \cdot f$  works.

## Partitions of Unity

Defn

$X$  smooth manifold,  $\mathcal{U}$  open cover of  $X$ .

A smooth partition of unity subordinate to  $\mathcal{U}$  is a collection of smooth functions  $\langle \phi_i : X \rightarrow \mathbb{R}_{\geq 0} \rangle_{i \in I}$  s.t.

(1)  $\{ \text{supp}(\phi_i) : i \in I \}$  is a locally finite collection of closed sets.

$$\text{supp}(\phi) = \overline{\{x : \phi(x) \neq 0\}}.$$

locally finite: every  $p \in X$  has a nbd that intersects only finitely many of the supports

(2)  $\forall i : \exists U \in \mathcal{U}$  s.t.  $\text{supp}(\phi_i) \subseteq U$ .

(3)  $\sum_{i \in I} \phi_i(x) = 1$  for every  $x \in X$ .  
(for every  $x$ , the sum is a finite one)

Theorem

$\forall X \forall \mathcal{U} \exists$  smooth partition of unity subordinate to  $\mathcal{U}$ .

Sketch

Step 1. Find an exhaustion of  $X$ : compact sets  $K_1 \subseteq K_2 \subseteq \dots$   
s.t.  $K_i \subseteq K_{i+1}^\circ, \bigcup_{i=1}^{\infty} K_i = X$ .

$\rightarrow \exists$  basis  $V_1, V_2, \dots$  s.t.  $\overline{V_i}$  is compact.

Take  $K_i := \overline{V_i}$ . Assume constructed till  $K_i$ .

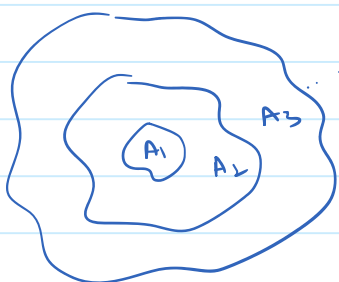
Cover  $K_i \subseteq V_1 \cup \dots \cup V_m$  and then put

$$K_{i+1} := \overline{V_1 \cup \dots \cup V_m}.$$

Step 2

Take  $A_i := \overline{K_i \setminus K_{i-1}}$ . (Put  $K_0 := \emptyset$ )

Each  $A_i$  is compact and  $\langle A_i \rangle_{i \geq 1}$  is locally finite.



Let  $x \in A_i$ . Choose a  $\rho$ -like function  $\phi_x^i$  s.t.  $\text{supp}(\phi_x^i)$  is contained in some  $U_x \in \mathcal{U}$  and is disjoint from  $A_j$  for  $|j-i| > 1$ .  
Use compactness to find finitely many  $\phi_{x_1}, \dots, \phi_{x_m}$ .

Now conclude ...

□

Example. Cotangent bundle

$$E = \left\{ (\varphi, x) \mid \varphi : T_x M \rightarrow \mathbb{R} \text{ is linear} \right\} \quad \begin{array}{l} \text{vector bundle} \\ \swarrow \text{over } M \end{array}$$

$$\pi : T^*M \rightarrow M \text{ is } (\varphi, x) \mapsto x.$$

$T^*M$  and  $TM$  are diffeomorphic, but not canonically.  
(Essentially because  $(\mathbb{R}^n)^* \cong \mathbb{R}^n$ .)

Let  $i : M \rightarrow \mathbb{R}^n$  be an immersion. Then,  $\forall x \in M$ ,

$$\text{Im}(D_i(x)) \subseteq T_{i(x)}\mathbb{R}^n = \mathbb{R}^n$$

$$\text{Let } E = \left\{ (x, \varphi) : x \in M, \varphi \in \text{Im}(D_i(x))^\perp \right\}.$$

$E$  is the normal bundle (associated to  $i$ ).

( $n$  need not be  $\dim(M)$ .)

Thm. (Existence-Uniqueness for ODE solutions)

Let  $V : \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a Lipschitz function.

Fix  $x \in \mathbb{R}^n$ . Then,  $\exists \varepsilon > 0$  s.t.

$$\begin{cases} u(0) = x \\ u'(t) = V(u(t)) \end{cases}$$

has a unique sol<sup>n</sup>  $u : (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}^n$ .

has a unique sol<sup>n</sup>  $u: (-\epsilon, \epsilon) \rightarrow \mathbb{R}$ .

Thm

Let  $M$  be a manifold and  $V$  be a vector field on  $M$ .  
Then, there exists an open neighbourhood  $U$  of  $\{0\} \times M \subseteq \mathbb{R} \times M$   
and a function

$$\varphi: U \rightarrow M$$

such that

$$\frac{\partial}{\partial t} \varphi(t, x) = V(\varphi(t, x)).$$

Furthermore,  
defined.

$$\varphi(t+s, x) = \varphi(t, \varphi(s, x)) \quad \text{whenever}$$

flow equation

Common notation:  $\varphi(t, x) =: \varphi_t(x)$ .

Then,

$$\varphi_{t+s}(x) = \varphi_t(\varphi_s(x))$$

or

$$\varphi_{t+s} = \varphi_t \circ \varphi_s.$$

Def<sup>n</sup>

Let  $V$  and  $W$  denote vector fields on a manifold  $M$ .  
If  $\varphi_t^V$  denotes the flow generated by  $V$ , the  
Lie derivative of  $W$  along  $V$  is

$$[V, W] := \left. \frac{d}{dt} (\varphi_t^V)_* (W) \right|_{t=0}$$

→ this is  
again a  
vector field

$$(\varphi_t^V)_* (W)(x) = D\varphi_t^V (W(\varphi_{-t}^V(x)))$$

# Push-forwards

$F: M \rightarrow N$  diffeomorphism  
 $X = \text{vector field on } M$

We define a vector field  $F_*(X)$  on  $N$ .  
 (v.f.)

$$F_*(X)(p) = DF(F^{-1}(p))(X(F^{-1}(p))), \quad p \in N.$$

•  $X$  represented by a curve  $\gamma$  on  $M$   
 $\downarrow$   
 $F_*(X)$  is represented by  $F \circ \gamma$

•  $X$  represented by derivation:

$$f \in C^\infty(M) \Rightarrow X \cdot f \in C^\infty(M)$$

Given  $g \in C^\infty(N)$ ,

$$F_*(X) \cdot g = [X \cdot (g \circ F)] \circ F^{-1}$$

Recall:

$X, Y \rightsquigarrow$  v.f.s on  $M$

$\varphi_t^X =$  flow generated by  $X$

$$[X, Y] := \left. \frac{d}{dt} \right|_{t=0} (\varphi_t^X)_* (Y)$$

$$[X, Y](p) = \left. \frac{d}{dt} \right|_{t=0} (\varphi_t^X)_* (Y)(p).$$

$$[X, Y](p) = \left. \frac{d}{dt} \right|_{t=0} (\varphi_t^X(Y))(p).$$

$\varphi_t^X : M \rightarrow M$  family of transformations s.t.

setting  $\gamma_x(t) := \varphi_t^X(x)$  gives

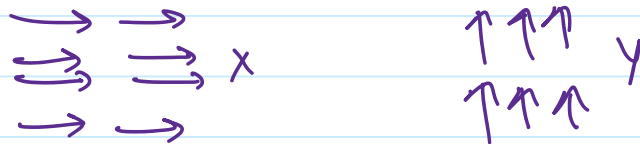
$$\gamma_x'(t) = X(\gamma_x(t)).$$

Examples.

①  $X = \frac{\partial}{\partial x} \quad (= \begin{pmatrix} 1 \\ 0 \end{pmatrix})$

$Y = \frac{\partial}{\partial y} \quad (= \begin{pmatrix} 0 \\ 1 \end{pmatrix})$

on  $\mathbb{R}^2$



$$\varphi_t^X(x, y) = (x+t, y).$$

$$\begin{aligned} (\varphi_t^X)_*(Y)(x, y) &= D\varphi_t^X(x-t, y) \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{aligned}$$

$$\Rightarrow \left. \frac{d}{dt} \right|_{t=0} (\dots) = 0.$$

$\therefore [X, Y] = 0.$  (X and Y are said to commute.)

②  $X = \frac{\partial}{\partial x} + y \frac{\partial}{\partial z} \quad (= \begin{pmatrix} 1 \\ 0 \\ y \end{pmatrix})$  on  $\mathbb{R}^3$   
 $Y = \frac{\partial}{\partial z} - x \frac{\partial}{\partial y} \quad (= \begin{pmatrix} 0 \\ -x \\ 1 \end{pmatrix})$

$$Y = \frac{\partial}{\partial y} - x \frac{\partial}{\partial z} \quad (\approx \begin{pmatrix} 0 \\ 1 \\ -x \end{pmatrix}) \quad \text{on } \mathbb{R}^3$$

$$\varphi_t^X(x, y, z) = (x+t, y, z+ty)$$

$$\begin{aligned} (\varphi_t^X)_* (Y)(x, y, z) &= D\varphi_t^X(x-t, y, z-ty) Y(x-t, y, z-ty) \\ &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & t & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ -x+t \end{pmatrix} \\ &= \begin{pmatrix} 0 \\ 1 \\ 2t-x \end{pmatrix} \end{aligned}$$

$$\begin{aligned} \therefore [X, Y](x, y, z) &= \left. \frac{d}{dt} \right|_{t=0} \begin{pmatrix} 0 \\ 1 \\ 2t-x \end{pmatrix} \\ &= \begin{pmatrix} 0 \\ 0 \\ 2 \end{pmatrix} = 2 \frac{\partial}{\partial z} \end{aligned}$$

Understanding Lie derivatives via derivations:

Local coordinates:

$$Y = \sum y_i(s) \frac{\partial}{\partial s_i}$$

$$X = \sum x_i(s) \frac{\partial}{\partial s_i}$$

$$((\varphi_t^X)_* Y) \cdot f(s) = \left( \left[ Y \cdot (f \circ \varphi_t^X) \right] \circ \varphi_{-t}^X \right) (s)$$

$$= \sum_i y_i(\varphi_{-t}^X(s)) \frac{\partial}{\partial s_i} (f \circ \varphi_t^X)(\varphi_{-t}^X(s))$$

$$= \sum_i y_i(s) \frac{\partial}{\partial s_i} f(s) + \dots$$

$$= \sum_i y_i(\varphi_{-t}(s)) \sum_j \frac{\partial f}{\partial s_j}(s) \frac{\partial}{\partial s_i} ((\varphi_{-t}^x)_j)(\varphi_{-t}^x(s))$$

$$= \sum_j \frac{\partial f}{\partial s_j}(s) \underbrace{\sum_i y_i(\varphi_{-t}(s)) \frac{\partial}{\partial s_i} ((\varphi_{-t}^x)_j)(\varphi_{-t}^x(s))}_{\frac{\partial}{\partial t} \Big|_{t=0}}$$

$I_i + II_i$

using  
product rule

$$I_i = \sum_k \delta_{ij} (-x_k(s)) \frac{\partial y_i}{\partial s_k}(s)$$

$$II_i = y_i(s) \frac{\partial x_j}{\partial s_i}(s)$$

$$\therefore \frac{d}{dt} \Big|_{t=0} (Y \cdot (f \circ \varphi_t^x))(\varphi_t^x(s))$$

$$= \sum_j \frac{\partial f}{\partial s_j}(s) \left( \sum_i y_i \frac{\partial x_j}{\partial s_i} - x_j \frac{\partial y_i}{\partial s_i} \right)$$

shows antisymmetry

$$\therefore [X, Y] = -[Y, X] \text{ and}$$

$$[X, Y] \cdot f = Y \cdot (X \cdot f) - X \cdot (Y \cdot f).$$

Back to earlier example:  $X = \partial/\partial x + y \partial/\partial z$ ,

$$Y = \partial/\partial y - x \partial/\partial z.$$



$$Y \cdot X = \left( \frac{\partial}{\partial y} - x \frac{\partial}{\partial z} \right) \cdot \left( \frac{\partial}{\partial x} + y \frac{\partial}{\partial z} \right)$$

$$= \frac{\partial^2}{\partial y \partial x} + \frac{\partial}{\partial z} + y \frac{\partial^2}{\partial y \partial z}$$

$$- x \frac{\partial^2}{\partial z \partial x} - xy \frac{\partial^2}{\partial z^2}$$

$$X \cdot Y = \left( \frac{\partial}{\partial x} + y \frac{\partial}{\partial z} \right) \left( \frac{\partial}{\partial y} - x \frac{\partial}{\partial z} \right)$$

$$= \frac{\partial^2}{\partial x \partial y} - \frac{\partial}{\partial z} - x \frac{\partial^2}{\partial x \partial z} + y \frac{\partial^2}{\partial z \partial y} - xy \frac{\partial^2}{\partial z^2}$$

# Lecture 13 (21-09-2022)

Wednesday, September 21, 2022 10:39 AM

- Recall: An  $l$ -distribution on  $M$  is an assignment to each  $p \in M$  an  $l$ -subspace  $E(p) \subseteq T_p(M)$ . (In a smooth manner.)
- A  $l$ -foliation on  $M$  is an atlas of charts  $\mathcal{F}$  s.t. if  $\varphi, \psi \in \mathcal{F}$ , we have

$$(\varphi \circ \psi^{-1})(\mathbb{R}^l \times \{x\}) \subseteq \mathbb{R}^l \times \{\varphi(\psi^{-1}(x))\}$$

for all  $x \in \text{im}(\psi)$

## Theorem (Frobenius)

A distribution  $E$  is integrable (i.e.,  $E = T\mathcal{F}$  for some  $\mathcal{F}$ )

$\Leftrightarrow \forall$  v.f.s subordinate to  $E$ ,  $[\chi, \psi]$  is subordinate to  $E$ .

- $T\mathcal{F}$  is the distribution given as

$$T\mathcal{F}(p) = \left\langle D\varphi_{\varphi(p)}^{-1} \left( \frac{\partial}{\partial x_i} \right) : i=1, \dots, l \right\rangle,$$

where  $\varphi$  is a foliation chart.

## Examples of distributions:

①  $H = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : x, y, z \in \mathbb{R} \right\}.$

-  $H$  is diffeomorphic to  $\mathbb{R}^3$ .

- 3 nice subgroups:

$$A = \left\{ \begin{pmatrix} 1 & x & 0 \\ & 1 & 0 \\ & & 1 \end{pmatrix} : x \in \mathbb{R} \right\}.$$

isomorphic and diffeomorphic  
to  $\mathbb{R}$ .

$$B = \left\{ \begin{pmatrix} 1 & 0 & 0 \\ & 1 & y \\ & & 1 \end{pmatrix} : y \in \mathbb{R} \right\}.$$

$$Z = \left\{ \begin{pmatrix} 1 & 0 & z \\ & 1 & 0 \\ & & 1 \end{pmatrix} : z \in \mathbb{R} \right\}$$

"One-parameter subgroups"

Flow!:  $\varphi_t^A(g) = \begin{pmatrix} 1 & t & 0 \\ & 1 & 0 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & x & z \\ & 1 & y \\ & & 1 \end{pmatrix}$

$$= \begin{pmatrix} 1 & t+x & ty+z \\ & 1 & y \\ & & 1 \end{pmatrix}.$$

$\varphi_t^A$  is generated by  $V_A = \frac{\partial}{\partial x} + y \frac{\partial}{\partial z}$ .

$\varphi_t^B$  is defined and generated by

$$\begin{pmatrix} 1 & 0 & 0 \\ & 1 & t \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & x & z \\ & 1 & y \\ & & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & x & z \\ & 1 & ty \\ & & 1 \end{pmatrix}$$

$$V_B = \frac{\partial}{\partial y}$$

$$V_Z = \frac{\partial}{\partial z}$$

$$E_{A,B} = \langle V_A, V_B \rangle, \quad E_{A,z} = \langle V_A, V_z \rangle, \quad E_{B,z} = \langle V_B, V_z \rangle.$$

( $\langle \cdot, \cdot \rangle$  denotes the subspace spanned.)

$E_{B,z}$  is integrable (using Frobenius thm).

Just need to check

$$[f \partial/\partial y, g \partial/\partial z] \subseteq E_{B,z}.$$

we have

$$\begin{aligned} [f \partial/\partial y, g \partial/\partial z] &= f \partial/\partial y (g \partial/\partial z) - g \partial/\partial z (f \partial/\partial y) \\ &= f \frac{\partial g}{\partial y} \frac{\partial}{\partial z} + f g \frac{\partial^2}{\partial y \partial z} \\ &\quad - f g \frac{\partial^2}{\partial y \partial z} - g \frac{\partial f}{\partial z} \frac{\partial}{\partial y} \\ &= f \frac{\partial g}{\partial y} \frac{\partial}{\partial z} - g \frac{\partial f}{\partial z} \frac{\partial}{\partial y} \in \langle \frac{\partial}{\partial z}, \frac{\partial}{\partial y} \rangle. \end{aligned}$$

Can check even  $E_{A,z}$  is integrable by similar computation.

$$\text{However, } [V_A, V_B] = -\partial/\partial z \notin \langle V_A, V_B \rangle.$$

$\therefore E_{A,B}$  is NOT integrable.

Remark. If  $E_{A,B}$  is integrable to a foliation  $\mathcal{F}$ , then the leaves of  $\mathcal{F}$  contain orbits of  $\varphi_x^A, \varphi_x^B$ .

$\Downarrow$   
 $\mathcal{F}(e) \supseteq$  subgroup generated by  $A, B$ .

Note that the Lie group commutator is

$$\left[ \begin{pmatrix} 1 & x & 0 \\ & 1 & 0 \\ & & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & y \\ & 1 & 0 \\ & & 1 \end{pmatrix} \right] = \begin{pmatrix} 1 & 0 & \pm xy \\ & 1 & 0 \\ & & 1 \end{pmatrix} \in \mathbb{Z}.$$

$$\textcircled{2} \quad GL(2, \mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : ad - bc \neq 0 \right\} \begin{array}{l} \text{open subset} \\ \text{of } \mathbb{R}^4. \\ \text{canonical smooth} \\ \text{structure} \\ \text{(4-manifold)} \end{array}$$

$SL(2, \mathbb{R}) = \det^{-1}(\{1\}) \rightarrow 1$  is a regular value of  $\det$ .  
 $\therefore SL(2, \mathbb{R})$  is a 3-manifold

$$U := \left\{ \begin{pmatrix} 1 & t \\ & 1 \end{pmatrix} : t \in \mathbb{R} \right\}$$

$$A := \left\{ \begin{pmatrix} e^{t/2} & \\ & e^{-t/2} \end{pmatrix} : t \in \mathbb{R} \right\}$$

$$V := \left\{ \begin{pmatrix} 1 & \\ t & 1 \end{pmatrix} : t \in \mathbb{R} \right\}.$$

( $U, A, V$  generate  $SL(2, \mathbb{R})$ .)

$$\varphi_t^U \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right) = \begin{pmatrix} 1 & t \\ & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a+tc & b+td \\ c & d \end{pmatrix}$$

$$X_U = c \frac{\partial}{\partial a} + d \frac{\partial}{\partial b}$$

$$X_V = a \frac{\partial}{\partial c} + b \frac{\partial}{\partial d}$$

$$X_A = \frac{1}{2} \left( a \frac{\partial}{\partial a} + b \frac{\partial}{\partial b} - c \frac{\partial}{\partial c} - d \frac{\partial}{\partial d} \right).$$

$$\begin{pmatrix} e^{t/2} & \\ & e^{-t/2} \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a e^{t/2} & b e^{t/2} \\ c e^{-t/2} & d e^{-t/2} \end{pmatrix}$$

$$\left. \frac{\partial}{\partial t} (a e^{t/2}) \right|_{t=0} = \left. \frac{a}{2} e^{t/2} \right|_{t=0} = \frac{a}{2}$$

$$[X_A, X_u] = \left[ \frac{a}{2} \frac{\partial}{\partial a} + \frac{b}{2} \frac{\partial}{\partial b} - \frac{c}{2} \frac{\partial}{\partial c} - \frac{d}{2} \frac{\partial}{\partial d}, \frac{c}{2} \frac{\partial}{\partial a} + d \frac{\partial}{\partial b} \right]$$

$$= \left( -\frac{c}{2} \frac{\partial}{\partial a} - \frac{d}{2} \frac{\partial}{\partial b} \right) - \left( \frac{c}{2} \frac{\partial}{\partial a} + d \frac{\partial}{\partial b} \right)$$

$$= -\frac{c}{2} \frac{\partial}{\partial a} - \frac{d}{2} \frac{\partial}{\partial b} = -X_u.$$

Check:  $[X_A, X_v] = X_v$

$[X_u, X_r] = -2X_A$

$E_{u,v}$  not integrable.

$E_{A,u}$  and  $E_{A,v}$  are,  
need to compute with  
 $f$  and  $g$  as before.

# Lecture 15 (26-09-2022)

Monday, September 26, 2022 10:45 AM

Midterm exam: October 7th

Syllabus: Manifolds, tangent spaces, differentials / pushforwards, immersions / submersions, vfs, flows, Frobenius / Lie brackets, transversality.

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## Theorem (Frobenius)

A distribution  $E$  is integrable  
 $\Leftrightarrow E$  is involutive.

Proof.  $(\Rightarrow)$  exercise.

$(\Leftarrow)$  [ Lee: force vfs to commute  
Wornton: similar ]

Fix a chart  $\rho_0: U \rightarrow \mathbb{R}^n$  of  $M$ .

Working in  $\rho_0(U)$ , the distribution is spanned by (nonvanishing) vector fields  $X_1(p), \dots, X_\ell(p)$ .

Fix  $p_0 \in \rho_0(U)$ . After an affine transform, we may assume  $p_0 = 0$  and  $X_i(0) = e_i$ .

Define

$$F: \begin{array}{c} \mathbb{R}^\ell \times \mathbb{R}^{n-\ell} \\ \cup \\ \mathbb{V} \end{array} \longrightarrow \mathbb{R}^n$$
$$(t_1, \dots, t_\ell, s) \longmapsto \varphi_{t_\ell}^{X_\ell} \circ \dots \circ \varphi_{t_1}^{X_1} (\bar{0}, s).$$

CLAIM:  $\forall (t, s) : DF(t, s)(\mathbb{R}^\ell) = E(F(t, s))$ .

Proof. For a basis vector  $e_i$ , note

$$DF(t, s)(e_i) = \frac{d}{d\tau} \Big|_{\tau=0} \varphi_{t\tau}^{x_\ell} \circ \dots \circ \varphi_{t_i+\tau}^{x_i} \circ \dots \circ \varphi_t^{x_1}(0, s)$$

$$= D\varphi_{t\tau}^{x_\ell} \circ \dots \circ D\varphi_{t_i+\tau}^{x_i} (X_i(\varphi_{t_i}^{x_i}(\dots(\varphi_t^{x_1}(0, s))\dots)))$$

Fix  $\gamma \in E(p)$  and assume  $X$  is subordinate to  $\mathcal{L}$ .

CLAIM:  $D\varphi_t^x(\gamma) \in E(p)$ .

Proof. With fixed  $p$ , let

$$A_t = (X_1(\varphi_t^x(p)) \dots X_d(\varphi_t^x(p)) \quad e_{t+1} \dots e_n).$$

$$A_0 = \text{id}.$$

$\therefore A_t$  is invertible on a nbd.

$$\text{let } B_t := A_t^{-1}.$$

$$\frac{d}{dt} (A_t B_t) = \text{id}$$

$$A_t' B_t + A_t B_t' = 0$$

$$\Rightarrow B_t' = -A_t^{-1} A_t' B_t$$

$$\boxed{B_t' = -B_t A_t' B_t}$$

$$\text{image}(B_t') \subseteq \mathbb{R}^n.$$



## Lecture 16 (28-09-2022)

Wednesday, September 28, 2022 10:36 AM

Last time :  $\varphi_0: U_0 \rightarrow \mathbb{R}^n$

$$F: \varphi(U) \rightarrow \mathbb{R}^n$$

$$dF_p(\mathbb{R}^l) = E(F(p))$$

$$\varphi = F^{-1} \circ \varphi_0 = \text{takes } E \text{ (on } M) \text{ to } \mathbb{R}^l$$

Consider  $\mathcal{F} = \{ \varphi \text{ as obtained from } \varphi_0 \}$   
alt = {charts  $\varphi$  s.t.  $D\varphi(E) = \mathbb{R}^l$ }

AIM :  $\mathcal{F}$  defines a foliation.

To see : check that if  $\varphi, \psi$ , then

$$(\psi \circ \varphi^{-1})(\{n\} \times \mathbb{R}^l) \subseteq \{ \psi(\varphi^{-1}(n)) \} \times \mathbb{R}^l. \quad \dots$$

---

# Transversality

Def<sup>n</sup> . Let  $f: M \rightarrow N$  be a  $C^\infty$  map and  $Q \subseteq N$  be an embedded submanifold.

$f$  is said to be **transverse to  $Q$**  ( $f \pitchfork Q$ ) if

$$\text{im}(Df(p)) + T_{f(p)}Q = T_{f(p)}N,$$

whenever  $f(p) \in Q$ .

• When  $M_1, M_2$  are embedded submanifolds, we say that they are **transverse** ( $M_1 \pitchfork M_2$ ) if

- When  $M_1, M_2$  are embedded submanifolds, we say that they are **transverse**  $(M_1 \pitchfork M_2)$  if

$$T_p M_1 + T_p M_2 = T_p M$$

for all  $p \in M_1 \cap M_2$ .

(Same as taking  $Q = M_2, f = i_{M_1}$  or  $Q = M_1, f = i_{M_2}$  in first definition.)

### Theorem (Transversality Theorem)

If  $f: M \rightarrow N$  is transverse to  $Q \stackrel{\subseteq N}{\hookrightarrow}$ , then  $\hat{Q} = f^{-1}(Q)$  is an embedded submanifold of  $M$ .

Furthermore,

$$\text{codim}(\hat{Q}) = \text{codim}(Q).$$

Special cases: ①  $f = \text{submersion}, Q = \text{pt} \rightarrow \text{submersion theorem}$

②  $\dim M + \dim Q = \dim N, f \text{ embedding.}$

$\hat{Q} \rightarrow \text{discrete, countable collection of points}$

# Lecture 17 (30-09-2022)

Friday, September 30, 2022 10:48 AM

Recall:  $f: M \rightarrow N$   $C^\infty$ ,  $Q \subseteq N$  embedded.

$$f \pitchfork Q \iff \text{im}(Df(p)) + T_{f(p)}Q = T_{f(p)}N$$

$$\forall p \in f^{-1}(Q).$$

## Theorem (Transversality theorem)

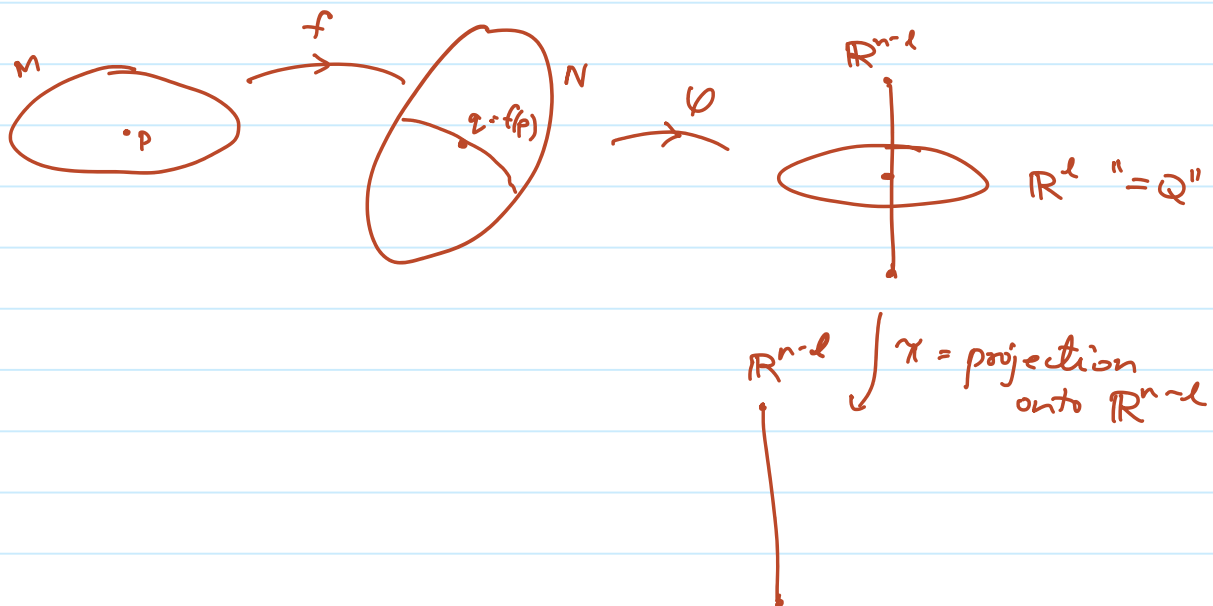
Let  $f \pitchfork Q$ . Then,  $\hat{Q} := f^{-1}(Q)$  is an embedded submanifold of  $M$ , and  $f|_{\hat{Q}}$  is an embedding whenever  $f$  is an embedding.

Furthermore,  $\text{codim}(\hat{Q}) = \text{codim}(Q)$ .

Lemma. If  $Q \subseteq N$  is an embedded submanifold, and  $q \in Q$ ,  $\exists$  a chart  $\varphi: U \rightarrow \mathbb{R}^n (= \mathbb{R}^l \times \mathbb{R}^{n-l})$  s.t.  $q \in U$  and

$$\varphi(Q \cap U) \subseteq_{\text{open}} \mathbb{R}^l. \quad (l = \dim(Q))$$

Take such a chart  $\varphi$  at  $q = f(p)$ .



Claim.  $F := \pi \circ \varphi \circ f$  is a submersion near  $p$ .

Pf. We have  $\text{im}(Df(p)) + T_{f(p)} Q = T_{f(p)} N.$

$$\Rightarrow \text{Im}(Dp \circ Df) + \mathbb{R}^d \times \{0\} = \mathbb{R}^n$$

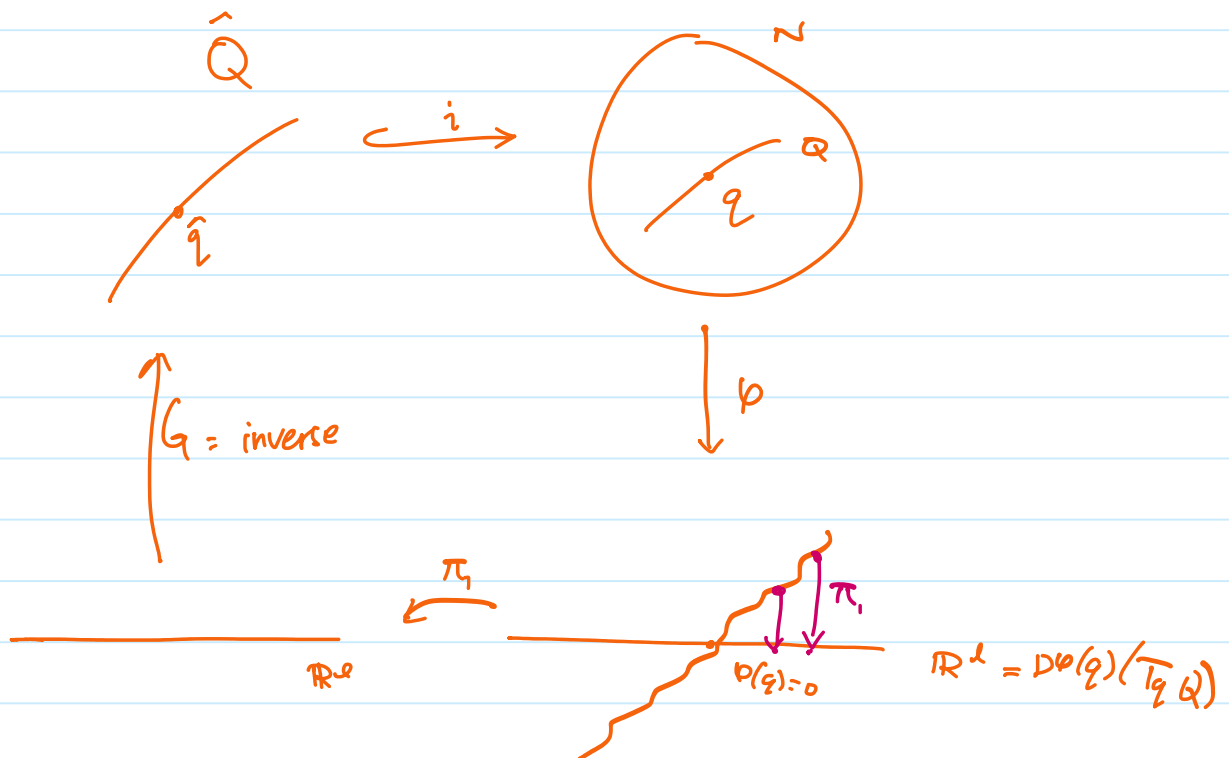
$$\Rightarrow \text{Im}(D\pi \circ Dp \circ Df) = \mathbb{R}^{n-d}. \quad \square$$

(Suffices to prove submersion at  $p$ , then continuity gives on a nbd.)

$$F^{-1}(0) = f^{-1}(U \cap Q).$$

Now use submersion theorem to conclude the transversality theorem.

Sketch of proof of lemma:



$$\tau : \mathbb{R}^d \longrightarrow \mathbb{R}^{n-d}$$

$$\tau = \pi_2 \circ p \circ i \circ G$$

$$F : \mathbb{R}^n \longrightarrow \mathbb{R}^n$$

$$F(x,t) = (a, t - \tau(x)).$$

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# Lecture 18 (03-10-2022)

Monday, October 3, 2022 10:41 AM

Exercise. Let  $f_t(x, y) = (t+x, y, x+y)$ . ( $f_t: \mathbb{R}^2 \rightarrow \mathbb{R}^3$ )  
 For which values of  $t$  does  $\text{im}(f_t)$  have a nontrivial transverse intersection with  $S^2$ .

Sol<sup>n</sup> Expect an open interval.

$$\text{Im}(D_p f) + T_{f(p)} S^2 = \mathbb{R}^3 \quad \forall p \text{ s.t. } f(p) \in S^2$$

always 2 dim'l

$\therefore$  suffices to check  $\text{Im}(D_p f) \neq T_{f(p)} S^2$ .

Note  $\text{Im}(f_t) = g_t^{-1}(0)$ , where

$$g_t(x, y, z) = z - y - (x - t).$$

Define  $F_t(x, y, z) = (x^2 + y^2 + z^2 - 1, g_t(x, y, z))^T$   
 $F_t: \mathbb{R}^3 \rightarrow \mathbb{R}^2$

Need to check where  $D F_t$  is full rank.

Reduced both manifolds to zero sets.

$$D_{(x,y,z)} F_t = \begin{bmatrix} 2x & 2y & 2z \\ -1 & -1 & 1 \end{bmatrix}$$

These two vectors are proportional

$$\left. \begin{array}{l} \text{if } x = y = -z. \\ x^2 + y^2 + z^2 = 1 \end{array} \right\} \Rightarrow (x, y, z) = \pm \left( \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}, \frac{-1}{\sqrt{3}} \right)$$

$$\text{If } g\left(\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}, -\frac{1}{\sqrt{3}}\right) = 0$$

$$\text{then } -\frac{1}{\sqrt{3}} = \frac{1}{\sqrt{3}} + \left(\frac{1}{\sqrt{2}} - t\right)$$

$$\Rightarrow t = \sqrt{3}$$

$$g\left(\frac{1}{\sqrt{3}}, -\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}\right) \Rightarrow t = -\sqrt{3}$$

$\therefore (-\sqrt{3}, \sqrt{3})$  is the answer.

Midterm: No transversality II, Sard's theorem.

(Wortman, Page 80)

Let  $M$  and  $N$  be connected smooth manifolds, with  $M$  compact.

Let  $F_t: M \rightarrow N$  be a family of  $C^\infty$  maps  
s.t.  $(t, x) \mapsto F_t(x)$  is  $C^\infty$  from  $\mathbb{R} \times M$ .

(That is,  $F_t$  varies  $C^\infty$  in  $t$ .)

Then, the sets of  $t$  for which the following hold  
are open (i.e., these are open properties):

- ①  $F_t$  is an immersion.
- ② submersion.
- ③ local diffeo.
- ④ is transverse to a fixed  $\mathbb{R} \subset N$ .
- ⑤ embedding.
- ⑥ diffeo.

} check using full rank

(Compactness  $\Rightarrow$  injection = homeomorphism onto image)

Proof: ⑤ Well, we check open-ness around 0.

Proof.

⑤ Well, we check openness around 0.

Assume  $F_0$  is an embedding but  $\exists$  sequence  $t_k \searrow 0$   
s.t.  $F_{t_k}$  not an embedding.

Define  $G: \mathbb{R} \times M \rightarrow \mathbb{R} \times N$   
 $(t, x) \mapsto (t, F(t, x)).$

Claim:  $DG(0, x)$  is injective for all  $x \in M$ .

Proof:

$$DG(0, x) = \begin{pmatrix} 1 & * \\ \hline 0 & DF_0(x) \\ \vdots & \\ 0 & \end{pmatrix}$$

dim  $M = m$   
dim  $N = n$

↪ full rank

This is still full rank.  $\square$

$F_{t_k}$  fails to be an embedding by not being injective.  
(Immersion is open.)

$$\exists p_k, q_k \in M \text{ s.t. } p_k \neq q_k \text{ and } F_{t_k}(p_k) = F_{t_k}(q_k)$$

By compactness, we may assume  $p_k$  and  $q_k$   
converge to  $p$  and  $q$ , resp.

By continuity  $F_0(p) = F_0(q)$ .

Since  $F_0$  is an embedding, we have  
 $p = q$ .

However,  $G$  is injective on a small  
nbd  $U$  of  $(0, p)$  but for  $k \gg 0$ ,  
 $(t_k, p_k)$  and  $(t_k, q_k)$  are in  $U$   
with

$$G(t_k, p_k) = (t_k, F_{t_k}(p_k)) = (t_k, F_{t_k}(q_k)) \\ = G(t_k, q_k). \rightarrow \text{contradiction} \quad \square$$



$$g(t_k, r_k) = (u_k, t_k(t_k)) \cdot (v_k, t_k(t_k)) - b(t_k, q_k) \rightarrow \mathbb{R}$$

# Lecture 19 (17-10-2022)

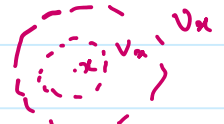
Monday, October 17, 2022 10:41 AM

## Theorem (Whitney)

If  $M$  is a  $C^\infty$   $n$ -manifold, there exists an embedding of  $M$  into  $\mathbb{R}^{2n}$ .

We will prove a weaker version: Will show assuming  $M$  compact and show  $M$  embeds into some  $\mathbb{R}^N$ .

Proof (with simplifying assumption). For each  $x \in M$ , choose a  $C^\infty$  chart  $(U_x, \varphi_x)$  s.t.  $x \in U_x$ . For each  $x$ , choose some open  $V_x \ni x$  such that  $\bar{V}_x \subseteq U_x$ .  
s.t.  $\varphi_x(U_x)$  is bdd in  $\mathbb{R}^n$



By compactness, we choose a finite subcover  $V_{x_1}, \dots, V_{x_r}$ .

For each  $i$ , choose  $C^\infty$   $\psi_i : M \rightarrow \mathbb{R}$  s.t.  $\text{supp } \psi_i \subseteq U_{x_i}$ ,  
 $\psi_i|_{V_{x_i}} \equiv 1$ ,

Let  $N := r \cdot n + r$ .

Define  $F : M \rightarrow \mathbb{R}^N$  by

$$F(x) = (F_1(x), \dots, F_r(x), \psi_1(x), \dots, \psi_r(x)).$$

$F_i : M \rightarrow \mathbb{R}^n$  is defined by

(our assumption  $\varphi_x(U_x)$  being bdd tells  $F_i$  is  $C^\infty$ )

$$F_i(x) = \begin{cases} \varphi_{x_i}(x) \cdot \psi_i(x), & x \in U_{x_i} \\ 0, & \text{otherwise} \end{cases}$$

Claim 1.  $F$  is injective. (Hence, homeo onto image.)  
(Since  $M$  compact.)

Proof. Suppose  $x, y \in M$  satisfy  $F(x) = F(y)$ .

↳ Proof. Suppose  $x, y \in M$  satisfy  $F(x) = F(y)$ .  
 Choose  $i$  s.t.  $x \in V_{x_i}$ .

Then,  $\Psi_i(x) = 1$ . Consequently,  $\Psi_i(y) = 1$ .  
 $\therefore y \in V_{x_i}$  as well.

But now,  $\varphi_{x_i}(x) = \varphi_{x_i}(y)$  and thus,  
 $x = y$  ( $\because \varphi_{x_i}$  is 1-1).  $\square$

Claim 2.  $F$  is an immersion (and hence, an embedding).

↳ Proof. Need to check  $\text{rank}(DF(x)) = n$   $\forall x$ .

$$DF(x) = \begin{bmatrix} DF_1(x) & \dots & DF_r(x) & D\Psi_1(x) & \dots & D\Psi_r(x) \end{bmatrix}$$

Pick  $i$  s.t.  $x \in V_{x_i}$ .

On a nbd of  $x$ ,  $F_i \equiv \varphi_{x_i}$ .

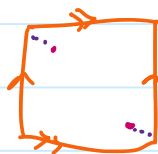
But then

$$\text{rank}(DF_i(x)) = \text{rank}(D\varphi_{x_i}(x)) = n. \quad \square$$

Thus, we are done.  $\square$

Thm. (Nash)  $\exists C^1$ -embedding of  $\mathbb{T}_{\text{flat}}^2$  into  $\mathbb{R}^3$  which is an isometry.

$\mathbb{T}_{\text{flat}}^2 =$  flat torus,  
 distance measured  
 'along' torus



Defn.

A (topological)  $n$ -manifold with boundary is a Hausdorff second-countable topological space s.t. for every  $x \in M$ , either one of the two conditions hold:

- $M$  is locally  $n$ -Euclidean at  $x$ ,
- $\exists$  nbd  $U$  containing  $x$  and a homeo  $\varphi: U \rightarrow V$  s.t.  $V$  is open in  $\mathbb{R}_{\geq 0} \times \mathbb{R}^{n-1}$  and  $\varphi(x) \in \text{pt} \times \mathbb{R}^{n-1}$ .



$M$  has a smooth structure if transition maps are  $C^\infty$ .

↳ boundary should go to boundary, smooth on interior and on  $\mathbb{R}^{n-1}$ .

# Orientations

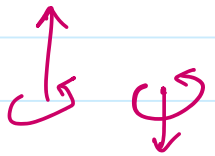
1-manifolds: "left" or "right"



2-manifold: "clockwise" or "counterclockwise"



3-manifold: "left-hand rule" or "right-hand rule"



Idea: An orientation on a vector space  $V$  is an equivalence class of ordered frames (bases).  $\neq 0$

$(v_1, \dots, v_d) \sim (w_1, \dots, w_d) \iff$  the linear transform mapping  $v_i \mapsto w_i$  has  $\det > 0$ .

Remark: On every vector space,  $\neq 0$   $\exists$  exactly two equiv classes.

Isometries of  $\mathbb{R}^2$ : Rotations, } preserve  
 Translations, }  
 Reflections.  $\rightarrow$  don't preserve

Def. ① If  $M$  is a manifold, a pointwise orientation of  $M$  is a function which assigns to each  $x \in M$  an orientation of  $T_x M$ .

② An orientation of  $M$  is a ptwise orientation s.t.

② An orientation  $\circlearrowleft$  of  $M$  is a ptwise orientation s.t.  
 $\forall x \in M \exists$  nbhd  $U \ni x$  and v.f.s  $X_1, \dots, X_d$  defined on  $U$  s.t.  

$$\circlearrowleft(p) = [X_1(p), \dots, X_d(p)] \text{ for all } p \in U.$$

Examples ①  $\mathbb{T}^d$  is orientable.

"  $\mathbb{R}^d / \mathbb{Z}^d$

Choose the standard basis vectors at each point.

②  $S^n$  is orientable. (See next theorem.)

Theorem. Let  $M \subseteq \mathbb{R}^{n+1}$  be an  $n$ -dimensional manifold.  
 Assume  $\exists$  a v.f.  $X$  on  $\mathbb{R}^{n+1}$  s.t.  $X(p) \notin T_p M \forall p \in M$ .  
 Then,  $M$  is orientable.

Proof. Fix  $p \in M$ , and let  $\varphi: U \rightarrow \mathbb{R}^n$  be a chart containing  $p$ . → connected

Then,  $(D_{\varphi(x)} \varphi^{-1}(e_1), \dots, D_{\varphi(x)} \varphi^{-1}(e_n))$  is a local framing of  $T_x M$  at  $x$ , for  $x \in U$ .

We say  $\varphi$  is a positively oriented chart if

$$(D_{\varphi(x)} \varphi^{-1}(e_1), \dots, D_{\varphi(x)} \varphi^{-1}(e_n), X(x)) \sim (e_1, \dots, e_{n+1})$$

(Inside  $\mathbb{R}^{n+1}$ )

$\forall x \in U.$

Note that

$x \mapsto \det(D_{\varphi(x)} \varphi^{-1}(e_1), \dots, D_{\varphi(x)} \varphi^{-1}(e_n), X(x))$   
 is continuous and nonzero.  $\therefore$  same sign.

Then, given any such chart  $(U, \varphi)$ , either  $p$  or  $(-\varphi_1, \varphi_2, \dots, \varphi_n)$  is a pos. chart. (connected)

Thus, given any such cover  $(\varphi_i)$ , either  $\mu$   
or  $(-\varphi_1, \varphi_2, \dots, \varphi_n)$  is a pos. chart.

Thus, for any  $x \in M$ , we can define a truly  
oriented chart.

Now, we claim that for  $x \in M$ , defining

$$O(x) := [(D_{\varphi_1(x)} \varphi_1^{-1}(e_1), \dots, D_{\varphi_n(x)} \varphi_n^{-1}(e_n))]$$

works (for any true oriented chart  $\varphi$ ).

Need to check: well-defined.

Check: If  $\varphi, \psi$  are two such true charts,  
then the RHS is same.  
(Easy.)

---

Def If  $M$  is a  $C^\infty$  manifold, let  $\tilde{M}$  denote the set of  
pairs  $(x, O_x)$ , where  $O_x$  is an orientation of  $T_x M$ .  
Then,  $\exists$  a 2:1 map  $\pi: \tilde{M} \rightarrow M$   
 $(x, O_x) \mapsto x$ .

$\rightarrow \tilde{M}$  can be given the structure of a  $C^\infty$  manifold  
s.t.  $\tilde{M}$  is orientable.

# Lecture 21 (21-10-2022)

Friday, October 21, 2022 10:38 AM

$$\tilde{M} = \{ (x, \Theta_x) : \Theta_x \text{ is an orientation on } T_x M \}$$

$$\pi: \tilde{M} \rightarrow M$$

$$(x, \Theta_x) \mapsto x$$

Smooth / topological structure:

$\varphi: U \rightarrow \mathbb{R}^n$  a chart on  $M$ .

Define

$$U_+ := \{ (x, [(D_{\varphi(x)} \varphi^{-1}(e_1), \dots, D_{\varphi(x)} \varphi^{-1}(e_n))]) : x \in U \}$$

$$\begin{array}{c} \cap \\ \tilde{M} \end{array} \quad \cup \quad \Theta_{\varphi_+}(x)$$

$\varphi_+: U_+ \rightarrow \mathbb{R}^n$  is defined by

$$\varphi_+(x, \Theta_{\varphi_+}(x)) := \varphi(x).$$

Similarly, define

$$U_- := \{ (x, -\Theta_{\varphi_+}(x)) : x \in U \}$$

and  $\varphi_-: U_- \rightarrow \mathbb{R}^n \dots$

Check: topology given on  $\tilde{M}$  by declaring  $U_+, U_-$  as the open sets makes  $\pi: \tilde{M} \rightarrow M$  a covering map.

Let  $\psi: V \rightarrow \mathbb{R}^n$  be another chart.

Case:  $V_+ \cap U_+ \neq \emptyset$ .

Then,  $\exists p \in V \cap U$  s.t.  $\Theta_{\varphi_+}(p) = \Theta_{\psi_+}(p)$ .



Then,  $\exists p \in V \cap U$  s.t.  $\Theta_{\varphi,+}(p) = \Theta_{\psi,+}(p)$ .

Then,

$$B_1 = (D_{\varphi(p)} \varphi^{-1}(e_1), \dots, D_{\varphi(p)} \varphi^{-1}(e_n)) \\ \sim (D_{\psi(p)} \psi^{-1}(e_1), \dots, D_{\psi(p)} \psi^{-1}(e_n)) = B_2.$$

Let  $A_p$  be the lin. transform taking  $B_1$  to  $B_2$ .

Then,  $\det(A_p) > 0$ .

$\Rightarrow \det(A_x) > 0 \quad \forall x \in \text{connected component} \dots$

$\Rightarrow$  this component is open.

$$(V \cap U)_+ = V_+ \cap U_+ \\ \begin{matrix} \downarrow \text{for } \varphi \\ \text{or } \psi \end{matrix} \quad \begin{matrix} \downarrow \\ \text{for } \varphi \end{matrix} \quad \begin{matrix} \downarrow \text{for } \varphi \end{matrix}$$

Remark. An orientation on  $M$  is a section of  $\pi: \tilde{M} \rightarrow M$ ,  
i.e. a  $(C^\infty)$  map  $\sigma: M \rightarrow \tilde{M}$  and  $\pi \circ \sigma = \text{id}_M$ .

Theorem:  $M$  is connected.  
 $\tilde{M}$  is not connected  $\Leftrightarrow \tilde{M}$  is orientable.

Proof. ( $\Leftarrow$ ) Assume  $M$  orientable.

Then,  $\exists C^\infty$  sections  $\sigma_\pm: M \rightarrow \tilde{M}$  defined by

$$\sigma_\pm(x) = (x, \Theta_\pm(x)).$$

Then,

$$\tilde{M} = \sigma_+(M) \cup \sigma_-(M).$$

$\hookrightarrow$  open since local diffeo

( $\Rightarrow$ ) Assume  $M = M_+ \cup M_-$  for nonempty clopen subsets.

Then,  $\pi: \tilde{M} \rightarrow M$  is a 2-1 covering map.

Then,  $\pi(M_+)$  is also clopen.

Then,  $\pi(M_+)$  is also clopen.  
 But  $M$  is connected. Thus,  $\pi(M_+) = M$ .  
 $\parallel^{\text{why}}$   $\pi(M_-) = M$ .  $\therefore \pi$  is 2-1,  $\pi|_{M_+}$  is a bijection.  
 But  $\pi$  is a local diffeo.  
 $\therefore \pi|_{M_+}$  is a diffeo.  
 $\therefore \pi|_{M_+}^{-1}$  is a section. □

Remark.  $\tilde{M}$  is always orientable.  
 $\Theta_x$  is orientation at  $(x, \Theta_x) \in \tilde{M}$ .

Let  $M, N$  be manifolds and  $F: M \rightarrow N$  a diffeomorphism.  
 If  $\Theta$  is an orientation on  $M$ , the **pushforward** of  $\Theta$  is  
 $(x := F^{-1}(y)) \quad F_*(\Theta)(y) = [(D_x F(v_1), \dots, D_x F(v_n))]$   
 where  $[(v_1, \dots, v_n)] = \Theta(x)$ .

Exercises:  $(F \circ G)_* = F_* \circ G_*$ .  
 $\rightarrow$  connected  
oriented manifold

Def. Let  $F: M \rightarrow M$  be a diffeo.  
 $F$  is called **orientation preserving** if  $F_*(\Theta) = \Theta$ .  
**Orientation reversing** otherwise.

$\exists$  <sup>group</sup> homomorphism  $\Theta: \text{Diff}^\infty(M) \rightarrow \{-1, 1\}$   
 $F \mapsto \begin{cases} 1 & ; F \text{ preserves} \\ -1 & ; F \text{ reverses} \end{cases}$

$\mathbb{R}P^n$  orientable  $\iff n$  is odd.

# Differential Forms

Recall: The chain rule for integration:

If  $U, V \subseteq \mathbb{R}^n$  are open, and  $F: U \rightarrow V$  is a diffeo  
 and  $\varphi \in C^\infty(V)$ . Then, (think: change of coordinates)

$$\int_V \varphi(x) dx = \int_U (\varphi \circ F)(x) |\text{Jac}(F)(x)| dx.$$

↪ integration wrt standard  
Lebesgue  
measure

$$(\text{Jac}(F)(x) = \det(D_x F).)$$

Note: • Since  $F$  is a diffeo, the Jacobian is of same  
 sign (on <sup>out</sup> <sup>least</sup> connected components).

This hints that orientations are important.

- The  $\text{Jac}(F)$  term says that any naive type of integral defined in terms of charts will in fact depend on charts.

Goal: Construct/attach extra data to keep track of the  $\text{Jac}(F)$  term.

## Some linear algebra:

Recall when  $f: \underbrace{V \times \dots \times V}_{k\text{-fold}} \rightarrow \mathbb{R}$  is called  $k$ -linear or multilinear.

Similarly recall alternating...  
Lastly, recall

$$\det: \underbrace{\mathbb{R}^n \times \dots \times \mathbb{R}^n}_{n\text{-times}} \rightarrow \mathbb{R}$$

is the unique multilinear and alternating function  
s.t.  $\det(e_1, \dots, e_n) = 1$ .

Moreover, any mult. alt.  $f$  is a scalar multiple of  $\det$ .

$\Lambda^k(V) =$  space of  $k$ -linear alternating functions.

- $\Lambda^k(V)$  is a vector space.
- $\dim(\Lambda^k V) = \binom{\dim V}{k}$ . [In particular,  $\Lambda^k V = 0$  for  $k > \dim V$ .]
- let  $n = \dim V$ .

$\Lambda^n(V)$  is one-dimensional.

If we have a basis <sup>(ordered)</sup> for  $V$ , we get a generator of  $\Lambda^n(V)$ . (Think of  $\det$ .)

$$\left( \begin{array}{l} (v_1, \dots, v_n) \rightarrow \text{basis for } V, \\ \varphi: \underbrace{V \times \dots \times V}_n \rightarrow \mathbb{R} \\ (\sum a_{1j} v_j, \dots, \sum a_{nj} v_j) \mapsto \det [a_{ij}]. \end{array} \right)$$

Elements of  $\Lambda^n(V)$  are called **top forms**.

Defn. If  $\omega \in \Lambda^k(V)$  and  $F: W \rightarrow V$  is linear, then the **pullback** of  $\omega$  by  $F$ ,  $F^* \omega \in \Lambda^k(W)$  is

the pullback of  $\omega$  by  $F$ ,  $F^*\omega \in \Lambda^k(W)$  is defined by

$$F^*\omega(w_1, \dots, w_k) = \omega(F(w_1), \dots, F(w_k)).$$

- $F$  is not assumed invertible. Even  $\dim W = \dim V$  is not needed.
- Pullbacks are contravariant.

$$(F_2 \circ F_1)^*\omega = F_1^*(F_2^*\omega)$$

- If  $F: \mathbb{R}^n \rightarrow \mathbb{R}^n$ , then  $F^*(\det) = \det(F) \det$ .

~~X~~

Def: Let  $M$  be a smooth manifold. Define  $\Lambda^k(TM)$  to be the vector bundle whose fibers are  $\Lambda^k(T_x M)$ .

(Give this a smooth structure using pullbacks...)

A differential  $k$ -form is a  $C^\infty$  section of  $\Lambda^k(TM)$ .

Ex:  $\Lambda^1(TM)$  is the cotangent bundle.

Integration: Fix a family of charts  $\psi_k: U_k \rightarrow \mathbb{R}^n$  such that  $\{U_k\}$  covers  $M$ .

Choose a partition of unity  $\psi_k: M \rightarrow [0,1]$  s.t.

- $\text{supp}(\psi_k) \subseteq U_k$ ,
- $\sum \psi_k \equiv 1$ .

If  $f: M \rightarrow \mathbb{R}$  is  $C^\infty$  and  $\omega$  an  $n$ -form on  $M$ , define

define

$$\int_M f \cdot \omega = \sum_k \int_{\varphi(U_k)} \psi_k(\mathbb{R}^k) f(\varphi_k^{-1}(x)) \left[ \frac{D\varphi_k(x)^*(\det)}{\omega(\varphi^{-1}(x))} \right] dx$$

↷  
this makes sense  
because  
 $\omega(\varphi^{-1}(x))$  spans  
the 1-dim space.

# Lecture 23 (26-10-2022)

Wednesday, October 26, 2022 10:46 AM

Theorem.  $M$  is orientable  
 $\Leftrightarrow M$  has a nonvanishing top-form.

Proof. ( $\Leftarrow$ ) Let  $\omega$  be a nonvanishing top form on  $M$ .  
Fix  $p \in M$ . We choose  $v_1, \dots, v_n \in T_p M$   
s.t.

$$\omega(p)(v_1, \dots, v_n) = 1.$$

Make such a choice for every  $p$ .

Define

$$\Theta(p) = [(v_1, \dots, v_n)].$$

This varies smoothly: follows from  $\omega$  being  $C^\infty$ .

( $\Rightarrow$ ) Assume  $M$  is orientable. (Assume  $M$  compact for ease.)

For each  $p \in M$ , let  $\varphi_p: U_p \rightarrow \mathbb{R}^n$  be  
a +vely oriented chart s.t.  $p \in U_p$ .

Choose finitely many such charts:  $U_1, \dots, U_m$ .  
let  $\rho_1, \dots, \rho_m: M \rightarrow [0, 1]$  be a partition  
of unity subordinate to  $U_1, \dots, U_m$ .

Define the form

$$\omega(x)(v_1, \dots, v_n) = \sum_{i=1}^m \rho_i(x) \varphi_i^*(\det)(v_1, \dots, v_n).$$

"

$$\sum \rho_i(x) \det(D\varphi_i(x) v_1, \dots, D\varphi_i(x) v_n).$$

$$\langle f_i(x) \det(D\varphi_i(x)) v_1, \dots, D\varphi_i(x)v_n \rangle$$

This works -  $\square$

Recall:  $M = n$ -manifold (oriented)

$\omega =$  top form

$\varphi_i : U_i \rightarrow \mathbb{R}^n$ , charts s.t.  $(U_i)_i$  cover  $M$

$\rho_i : M \rightarrow [0,1]$  part<sup>l</sup> of unity sub<sup>o</sup> to  $(U_i)_i$

$$\int_M \omega := \sum_i \int_{\varphi_i(U_i)} \rho_i(\varphi_i^{-1}(x)) \left[ \frac{\omega}{(\varphi_i^{-1})^*(\det)} \right](\varphi_i^{-1}(x)) dx.$$

This is independent of ... everything (but  $\omega$ )



# Lecture 24 (28-10-2022)

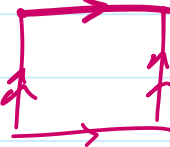
Friday, October 28, 2022 10:42 AM


## Integration in practice:

- $\varphi_i : U \rightarrow \mathbb{R}^n$ .
- $U_i \cap U_j = \emptyset$  if  $i \neq j$ .
- $M \setminus \left( \bigcup_{i=1}^n U_i \right) =$  finitely many embedded submanifolds

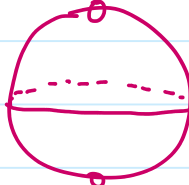
“0 measure”  
(Sard's)

$$\int_M \omega = \sum_{i=1}^n \int_{\varphi_i(U_i)} \frac{\omega}{(\varphi_i)^*(dx)}$$

Ex: ①  $\pi^2 =$  

$U =$   “dandy”

②  $S^2 =$  

$U =$    $= S^2 \setminus \{ \text{north pole, south pole} \}$

---

## Alternating forms on $\mathbb{R}^n$

•  $\Lambda^k(\mathbb{R}^n) = (\mathbb{R}^n)^*$

•  $\Lambda^1(\mathbb{R}^n) = (\mathbb{R}^n)^*$

Basis:  $dx_1, \dots, dx_n$ , where

$$dx_i(v) = v_i$$

$(v = (v_1, \dots, v_n))$

Dual to standard basis.

•  $\Lambda^k(\mathbb{R}^n)$

Define  $dx_{i_1} \wedge \dots \wedge dx_{i_k} \in \Lambda^k(\mathbb{R}^n)$  as

$$(v^1, \dots, v^k) \mapsto \sum_{\sigma \in S_k} (-1)^{\text{sgn}(\sigma)} v_{i_1}^{\sigma(1)} \dots v_{i_k}^{\sigma(k)}$$

$v^1, \dots, v^k \in \mathbb{R}^n, \quad v^i = (v_1^i, \dots, v_n^i) \in \mathbb{R}^n$

Theorem.  $\{ dx_{i_1} \wedge \dots \wedge dx_{i_k} : 1 \leq i_1 < \dots < i_k \leq n \}$  forms a basis for  $\Lambda^k(\mathbb{R}^n)$ .

# Wedge Product

If  $\alpha \in \Lambda^k(V)$  and  $\beta \in \Lambda^l(V)$ , the **wedge product**  $\alpha \wedge \beta \in \Lambda^{k+l}(V)$  is defined as

$$(v^1, \dots, v^{k+l}) = \frac{1}{k!l!} \sum_{\sigma \in S_{k+l}} (-1)^{\text{sgn}(\sigma)} \alpha(v^{\sigma(1)}, \dots, v^{\sigma(k)}) \beta(v^{\sigma(k+1)}, \dots, v^{\sigma(k+l)})$$

Example.  $(dx_1 \wedge dx_2) \left( \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}, \begin{pmatrix} w_1 \\ w_2 \\ w_3 \end{pmatrix} \right)$

$$= dx_1 \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} dx_2 \begin{pmatrix} w_1 \\ w_2 \\ w_3 \end{pmatrix} - dx_1 \begin{pmatrix} w_1 \\ w_2 \\ w_3 \end{pmatrix} dx_2 \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$$

$$= v_1 w_2 - w_1 v_2.$$

Theorem. The wedge product is associative, bilinear, and satisfies

$$\alpha \wedge \beta = (-1)^{kl} \beta \wedge \alpha,$$

$$\alpha \in \Lambda^k(V), \beta \in \Lambda^l(V).$$

Example. Let  $\alpha = y dx - x dy$ ,  $\beta = x^2 dx + y dy$ . } 1-forms on  $\mathbb{R}^2$  (section of  $\pi^{-1}(\mathbb{R}^2)$ )

$$\alpha \wedge \beta = (y dx - x dy) \wedge (x^2 dx + y dy)$$

$$= x^2 y dx \wedge dx + y^2 dx \wedge dy - x^3 dy \wedge dx - xy dy \wedge dy$$

$$= (y^2 + x^3) dx \wedge dy.$$

$$dx \wedge dx = (-1) dx \wedge dx$$

Tautological 1-form: Let  $M$  be a  $C^\infty$  manifold.

(Canonical/Liouville)

$T^*M = \text{cotangent bundle}$   
(space of 1-forms)

Consider the manifold:  $N := T^*M$ .

Define a 1-form on  $N$  as follows:

$$\begin{array}{c} T^*N \\ \downarrow \pi \\ M \end{array}$$

If  $v \in T(T^*M)$ ,

$$\theta_{(\alpha, p)}(v) = \alpha(D\pi(\alpha, p)(v)).$$

$$p \in M, \\ \alpha \in (T_p M)^*$$

Example.

$$M = \mathbb{R}^n.$$

$$T^*M \cong \mathbb{R}^n \times \mathbb{R}^n$$

$$(q, \underset{p}{\alpha_q})$$

$\alpha_p(v) = \langle v, w \rangle$   
(any linear  $f^*$  is an inner product)

$$\theta_{(q, p)}(v, w) = \sum_{i=1}^n v_i p_i$$

$$\theta = \sum_{i=1}^n p_i dq_i.$$

## Exterior derivatives

If  $\alpha$  is a  $k$ -form on a manifold  $M$ , we define  $d\alpha$  as a  $(k+1)$ -form on  $M$ :

$$d\alpha(x)(v_1(x), \dots, v_{k+1}(x)) = \sum_{i=1}^{k+1} (-1)^{i+1} [v^i \cdot \alpha(v_1, \dots, \hat{v}_i, \dots, v_{k+1})](x) \\ + \sum_{i < j} (-1)^{i+j} \alpha([v^i, v^j], v_1, \dots, \hat{v}_i, \dots, \hat{v}_j, \dots, v_{k+1})(x)$$

$v_1, \dots, v_{k+1}$  v.f.s

In coords:  $d\alpha(x) \left( \frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2} \right) = \frac{\partial}{\partial x_1} \cdot \alpha \left( \frac{\partial}{\partial x_2} \right) - \frac{\partial}{\partial x_2} \cdot \alpha \left( \frac{\partial}{\partial x_1} \right).$

In coords:  $d\alpha(x) \left( \frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2} \right) = \frac{\partial}{\partial x_1} \alpha \left( \frac{\partial}{\partial x_2} \right) - \frac{\partial}{\partial x_2} \alpha \left( \frac{\partial}{\partial x_1} \right).$

If  $\alpha = f dx_1 + g dx_2$  then,

$$d\alpha(x) = + \frac{\partial g}{\partial x_1} - \frac{\partial f}{\partial x_2}.$$

$$\therefore d(f dx_1 + g dx_2) = \left( \frac{\partial g}{\partial x_1} - \frac{\partial f}{\partial x_2} \right) dx_1 \wedge dx_2.$$

Formulae for exterior derivative:

1) If  $f: M \rightarrow \mathbb{R}$  is a 0-form (i.e.,  $C^\infty$ ),  $df$  is the usual differential.

2)  $d^2\alpha = 0$  for all forms  $\alpha$ .

3)  $d(\alpha \wedge \beta) = (d\alpha) \wedge \beta + (-1)^p \alpha \wedge (d\beta),$   $p = \text{deg}(\alpha)$

Using these:

$$\begin{aligned} d(f dx_1 + g dx_2) &= df \wedge dx_1 + dg \wedge dx_2 \\ &= \dots \end{aligned}$$

## Theorem (Stoke's Theorem)

Let  $M$  be an oriented  $n$ -manifold with boundary, and  $\omega$  be a compactly supported  $(n-1)$ -form on  $M$ . Then,

$$\int_M d\omega = \int_{\partial M} \omega$$

where  $\partial M$  has the induced orientation.

Proof. Case 1.  $\omega$  supported on a chart contained in the interior of  $M$ .  
 Want to check  $\int_M d\omega = 0$ .

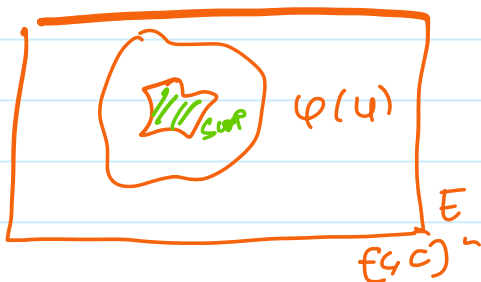
In coordinates:

$$\omega = \sum_{i=1}^n f_i dx_1 \wedge \dots \wedge \widehat{dx}_i \wedge \dots \wedge dx_n$$

$$d\omega = \sum_{i=1}^n \frac{\partial f_i}{\partial x_i} dx_i \wedge dx_1 \wedge \dots \wedge \widehat{dx}_i \wedge \dots \wedge dx_n$$

$$= \left( \sum_{i=1}^n (-1)^{i-1} \frac{\partial f_i}{\partial x_i} \right) dx_1 \wedge \dots \wedge dx_n$$

↓  
each individually integrates to 0:



$$\int_{\varphi(U)} \frac{\partial f_i}{\partial x_i} dx_1 \wedge \dots \wedge dx_n$$

$$= \int_{(\mathbb{R}^n)^n} \frac{\partial f}{\partial x_i} dx_1 dx_2 \wedge \dots \wedge dx_n$$

$$\overbrace{f(c)^n}$$

$$\begin{aligned} \int_{[c,c]^n} f(x_1, \dots, x_n) dx_1 \dots dx_n \\ = \int_{[c,c]^{n-1}} \left( \int_{[c,c]} \frac{\partial f}{\partial x_n} dx_n \right) dx_1 \dots dx_{n-1} \\ = 0. \end{aligned}$$

Case 2.  $\omega$  supported on a chart of  $\partial M$ .



$$\omega = \sum f_i dx_1 \wedge \dots \wedge \widehat{dx_i} \wedge \dots \wedge dx_n$$

$$d\omega = \left( \sum (-1)^{j-1} \frac{\partial f}{\partial x_j} \right) dx_1 \wedge \dots \wedge dx_n.$$

As before, for all but the last term, integrating over  $M$  is 0.

$$\therefore \int_M d\omega = \int_M (-1)^{n-1} \frac{\partial f}{\partial x_n} dx_1 \wedge \dots \wedge dx_{n-1}$$

$$= (-1)^{n-1} \int_{[c,c]^{n-1}} \left[ \int_0^c \frac{\partial f}{\partial x_n} dx_n \right] dx_1 \dots dx_{n-1}$$

$$= (-1)^{n-1} \int_{[c,c]^{n-1}} \left[ \begin{aligned} & f(x_1, \dots, x_{n-1}, c) \\ & - f(x_1, \dots, x_{n-1}, 0) \end{aligned} \right] dx_1 \dots dx_{n-1}$$

$$= \int_{\phi(\partial M)} (-1)^n f(x_1, \dots, x_{n-1}, 0) dx_1 \dots dx_{n-1}$$

$$= \int_{\partial M} \omega.$$



# de Rham Cohomology

$\Omega^k(M) = k\text{-forms on } M.$

$$d : \Omega^k(M) \rightarrow \Omega^{k+1}(M).$$

$$d \circ d = 0.$$

$$H_{dR}^k(M) = \frac{\ker(\Omega^k \rightarrow \Omega^{k+1})}{\text{im}(\Omega^{k-1} \rightarrow \Omega^k)}.$$

closed  $k$ -forms  
Exact  $k$ -forms

Thm  $H_{dR}^k(M)$  is always finite dim'l. ( $M$  is connected.)

Thm If  $M$  is a compact, connected, oriented  $n$ -manifold,  $H_{dR}^n(M) \cong \mathbb{R}$ . (w/o boundary)

Sketch  $\int_M : H_{dR}^n(M) \rightarrow \mathbb{R}$  is an iso.  $\square$

Thm  $H_{dR}^k(M \times N) \cong H_{dR}^k(M) \times H_{dR}^k(N).$

Cor  $H_{dR}^1(\mathbb{T}^n) \cong \mathbb{R}^n.$

Defn  $\Omega_c^k(M) =$  Compactly supported  $k$ -forms.

$$\Omega_c^0 \xrightarrow{d} \Omega_c^1 \xrightarrow{d} \Omega_c^2 \xrightarrow{d} \dots$$



$$H_{c,dR}^k(M) = \frac{\ker(\Omega_c^k \rightarrow \Omega_c^{k+1})}{\text{im}(\Omega_c^{k-1} \rightarrow \Omega_c^k)}$$

In general,  $H_{c,dR}^n(M) \cong \mathbb{R}$ ,  $M$  connected, oriented,  
 $n$ -manifold.

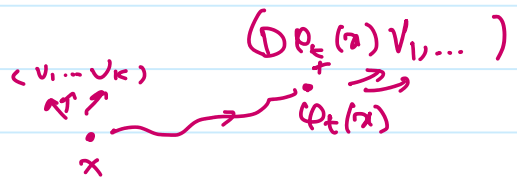
$$H_{dR}^1(\mathbb{R}) = 0, \quad H_{c,dR}^1(\mathbb{R}) \cong \mathbb{R}.$$

# Lie Derivatives

$X \rightarrow \mathcal{V}f, \quad \omega \rightarrow k\text{-form on } M$

$$\mathcal{L}_X \omega = \left. \frac{d}{dt} \right|_{t=0} (\Phi_t^X)^* \omega$$

(Zero form is a  $C^\infty$  function  $f$ .  
 $\mathcal{L}_X f := X \cdot f$ )



$$(\mathcal{L}_X \omega)(x)(v) = \lim_{t \rightarrow 0} \frac{\omega(\Phi_t(x))(D\Phi_t(x)v) - \omega(x)(v)}{t}$$

(written above for 1-form, just for notational ease.)

$\omega \rightarrow k\text{-form}, \quad i_X \omega \rightarrow (k-1)\text{-form}$

$$(i_X \omega)(x)(v_1, \dots, v_{k-1}) = \omega(x)(X(x), v_1, \dots, v_{k-1}).$$

## Theorem: (Cartan's Magic Formula)

$$\mathcal{L}_X = i_X \circ d + d \circ i_X.$$

Proof.

To show:

$$\mathcal{L}_X \omega = i_X(d\omega) + d(i_X \omega).$$

$$\forall k \quad \forall \omega \in \Lambda^k(TM).$$

Induct on  $k$ :

$$k=0: \quad \mathcal{L}_X(f) = X \cdot f$$

$$i_X(df) = df(X) = X \cdot f$$

$$d(i_X f) = d(0) = 0.$$

Note that all terms in the formula are linear.

Let us prove it for a form that looks like

(A general form is an  $\mathbb{R}$ -lin. combination.)  $\omega = du \wedge \beta, \quad u \in C^\infty, \beta \in \Omega^{k-1}$

$$\begin{aligned} \mathcal{L}_X(du)(x)(Y) &= \lim_{t \rightarrow 0} \frac{1}{t} \left[ (\varphi_t^X)^* du(x)(Y) - du(x)(Y) \right] \\ &= \lim_{t \rightarrow 0} \frac{1}{t} \left[ du(\varphi_t^X(x))(D\varphi_t^X(x)Y) - du(x)(Y) \right] \\ &= \lim_{t \rightarrow 0} \frac{1}{t} \left[ D\varphi_t^X(x)Y \cdot u(x) - Y \cdot u \right] \\ &= \lim_{t \rightarrow 0} \frac{1}{t} \left[ Y(u \circ \varphi_t^X) - Y \cdot u \right] \\ &= Y \cdot \left( \lim_{t \rightarrow 0} \frac{1}{t} (u \circ \varphi_t^X)(x) - u(x) \right) \\ &= Y \cdot (X \cdot u) \end{aligned}$$

$$\begin{aligned} \textcircled{\text{I}} \quad \mathcal{L}_X(du \wedge \beta) &\stackrel{\text{LW}}{=} \mathcal{L}_X(du) \wedge \beta + du \wedge \mathcal{L}_X \beta \\ &\stackrel{\text{by above}}{=} d(X \cdot u) \wedge \beta + du \wedge \mathcal{L}_X \beta \\ &\stackrel{\text{induction}}{=} d(X \cdot u) \wedge \beta + du \wedge (i_X(d\beta) + d(i_X \beta)). \end{aligned}$$

$$\begin{aligned} \textcircled{\text{II}} \quad i_X(d(du \wedge \beta)) &\stackrel{d du = 0}{=} i_X(-du \wedge d\beta) \\ &= -(X \cdot u) d\beta + du \wedge i_X d\beta \end{aligned}$$

$$\textcircled{\text{III}} \quad d(ix(du \wedge \beta)) = d((X \cdot u)\beta - du \wedge ix\beta) \\ = d(X \cdot u) \wedge \beta + (X \cdot u)d\beta + du \wedge d(ix\beta)$$

$$\textcircled{\text{I}} = \textcircled{\text{II}} + \textcircled{\text{III}} \quad \square$$

## Poincaré Lemma

$$H^k(M \times \mathbb{R}) \cong H^k(M) \quad \forall k \geq 1.$$

Recall:  $H^1(\mathbb{R}) = 0.$

If  $\alpha$  is a (closed) one-form:  $\alpha = f dt$

Then,  $\alpha = dF_t$ , where  $F(t) = \int f.$

Motivation:

On  $M \times \mathbb{R}_x$ , the v.f.  $\partial/\partial x$  integrates to the flow

$$\varphi_t^{\partial/\partial x}(p, y) = (p, y + t).$$

$$\omega(p, t) = \omega(\varphi_t(p, 0)) \iff \varphi_t^* \omega$$

$$\omega(p, t) = \int_0^t \frac{d}{ds} (\varphi_s^* \omega) ds = \int_0^t \mathcal{L}_{\partial/\partial x} \omega ds$$

$$\approx \mathcal{L}_{\partial/\partial x} \left( \int_0^t \omega(p, s) ds \right)$$

} linearity of  $\mathcal{L}_{\partial/\partial x}$

Define

$$P: \Omega^k(M \times \mathbb{R}) \xrightarrow{\quad} \Omega^{k-1}(M \times \mathbb{R})$$

$$P(\omega)(q, t) = \int_0^t \mathcal{L}_{\frac{\partial}{\partial x}} \omega(q, s) ds.$$

From Cartan's Magic Formula:

$$\begin{aligned} Pd + dP &= \int_0^t \mathcal{L}_{\frac{\partial}{\partial x}} \omega(q, s) ds \\ &= \int_0^t \frac{\partial}{\partial x} \Big|_{x=0} (\rho_x^{\partial/\partial x})^* \omega(q, s) ds \\ &= \int_0^t \frac{\partial}{\partial x} \Big|_{x=s} (\rho_x^{\partial/\partial x})^* \omega(q, 0) ds \\ &= \omega(q, t) - \omega(q, 0) \end{aligned}$$

} FTC something something

We have

$$M \times \mathbb{R} \begin{array}{c} \xrightarrow{\pi} \\ \xleftarrow{i_0} \end{array} M.$$

$$(i_0 \circ \pi)(q, t) = (q, 0)$$

$$\pi \circ i_0 = \text{id}.$$

$$\begin{array}{c} \xrightarrow{\pi^*} \\ H^k(M) \\ \downarrow i_0^* \\ H^k(M \times \mathbb{R}) \end{array}$$

$$dP + Pd = \text{id} - (i_0 \circ \pi)^*.$$

Thus,  $\text{id} \cong (i_0 \circ \pi)^*$  on homology.  
 $(\pi \circ i_0)^* = \text{id}^* = \text{id}.$

# Lecture 27 (07-11-2022)

Monday, November 7, 2022 10:42 AM

Recall:  $H^k(M \times \mathbb{R}) \cong H^k(M)$  ;  $k \geq 1$ . (Poincaré lemma)

Proposition. If  $M$  and  $N$  are homotopy equivalent, then  $H^k(M) \cong H^k(N)$ .

Theorem. If  $M$  is a compact orientable connected  $n$ -manifold,  $H^n(M) \cong \mathbb{R}$ .

$$\left( [\omega] \mapsto \int_M \omega \text{ is an iso.} \right)$$

Need to show: if  $\omega$  is an  $n$ -form s.t.

$$\int_M \omega = 0, \text{ then } \omega = d\eta \text{ for some } \eta.$$

Lemma  $H_c^n(\mathbb{R}^n) \cong \mathbb{R}$ .

Proof.

Choose charts  $\{(U_i, \varphi_i)\}_{i=1}^m$  s.t.  $\varphi_i(U_i)$  is a ball in  $\mathbb{R}^n$ , and  $M = \bigcup_{i=1}^m U_i$ .

Let  $\{\rho_i\}_{i=1}^m$  partition of unity w.r.t. ...

$$\omega_i := \rho_i \omega.$$

$$\omega = \sum \omega_i$$

and  $\omega_i$  is supported in  $U_i$ .

Note  $\text{supp } \omega_i$  is compact ( $\because$  closed, use  $\varphi_i$ ).

$\Rightarrow (\rho_i^{-1})^* \omega_i$  is compactly supported (in  $\varphi_i(U_i)$ )

$\Rightarrow (\varphi_i^{-1})^* \omega_i$  is compactly supported (in  $\varphi_i(U_i)$ )  
 $\hookrightarrow$  integral need not be zero, subtract  
 apt constant  
 ...

# Application of Differential forms

(Hamiltonian flows)

$M \rightarrow$  manifold with 2-form  $\omega$  which is closed, nondegenerate.

$\forall x \in M, \forall X \in T_x M \exists Y$   
 $\exists Y \in T_x M$   
 s.t.  $\omega(x)(X, Y) \neq 0$

Given  $v \in T_x M$ ,  $i_v \omega$  is a functional on  $T_x M$ .

Thm. Given  $\theta \in (T_x M)^*$   $\exists!$   $\theta^\sharp$  s.t.  $i_{\theta^\sharp} \omega = \theta$ .  
 (Just linear alg.)

let  $H: M \rightarrow \mathbb{R}$  be a  $C^\infty$  function.  
 let  $X_H$  be the vf defined by  $(\omega \text{ is the fixed symplectic form})$

$$i_{X_H} \omega = dH. \quad (\text{I.e., } X_H = (dH)^\sharp.)$$

$X_H$  is called a Hamiltonian vf. and its flow is a Hamiltonian flow.

Ex. ①  $\theta \rightarrow$  Liouville form  
 $d\theta \rightarrow$  symplectic

$d\theta \rightarrow$  symplectic

$$\omega := d\alpha$$

$$= \sum_{i=1}^n dq_i \wedge dp_i$$

on  $\mathbb{R}^{2n}$ .

$H \in C^\infty$ .

$$X_H(x) = (v(x), w(x))$$

*q coords*      *p coords*

want to  $v, w$ .

$$(i_{X_H} \omega)(a, b) = dH(a, b)$$

//

$$= \sum \frac{\partial H}{\partial q_i} a_i + \sum \frac{\partial H}{\partial p_i} b_i$$

$$\omega((v(x), w(x)), (a, b))$$

$$= \sum (v_i b_i - w_i a_i)$$

$$\therefore v_i = \frac{\partial H}{\partial p_i}, \quad w_i = -\frac{\partial H}{\partial q_i}.$$

} flow

$$\dot{q}_i = \frac{\partial H}{\partial p_i}, \quad \dot{p}_i = -\frac{\partial H}{\partial q_i}.$$

②  $M \rightarrow$  arb. manifold.

$N = T^*M$ ,  $\omega \rightarrow$  Liouville 2-form.

$$H(\theta) = \|v\|^2$$

$\hookrightarrow$   $\|\cdot\|$  is some Riemannian metric on  $M$ ,

and  $v$  is s.t.  $D_x \omega = \langle v(x), \omega \rangle$ .

Integral curves of  $X_H$  project to geodesics on  $M$ .

( $X_H$  generates the geodesic flow)

Again, back to  $\mathbb{R}^n$ :



Again, back to  $\mathbb{R}^n$ :

$$\omega = \sum_{i=1}^n dq_i \wedge dp_i.$$

$$H(q, p) = \frac{1}{2} \sum p_i^2$$

$$\Rightarrow dH = \sum_{i=1}^n p_i dp_i$$

$$\text{Thus, } X_H = \sum p_i \partial_{q_i}.$$

Integral curve

$$\gamma_{(q, p)}(t) = (q + tp, p).$$

Key properties:

① Hamiltonian flows preserve energy levels

$$X_H \cdot H = dH(X_H) = \omega(X_H, X_H) = 0.$$

② Hamiltonian flows preserve  $\omega$ . Consequently, preserve  $\underbrace{\omega \wedge \dots \wedge \omega}_r$ .

$$\mathcal{L}_{X_H}(\omega) = d i_{X_H}(\omega) + i_{X_H} d(\omega)$$

$$= d(dH) + 0 \quad \left\{ \begin{array}{l} \text{Cartan} \\ \downarrow \text{def of } X_H \end{array} \right. \quad \omega \text{ is closed}$$

$$= 0.$$

# Lecture 28 (09-11-2022)

Wednesday, November 9, 2022 10:39 AM

Theorem. If  $M$  is a compact, connected, orientable  $n$ -manifold, then  $H_{dR}^n(M) \cong \mathbb{R}$ .

Goal: (\*)  $\omega$  is compactly supported and if  $\int \omega = 0$ , then  $\omega$  is exact.

Lemma. Let  $M \rightarrow$  oriented, connected  $n$ -manifold,  $N_1, N_2 \subseteq M$  are open submanifolds s.t. (\*) holds for  $N_1, N_2$  with  $N_1 \cap N_2 \neq \emptyset$ .

Then, (\*) holds for  $N_1 \cup N_2$ .

Proof. Assume  $M = N_1 \cup N_2$ .

Let  $\omega$  be compactly supported on  $M$ , with  $\int_M \omega = 0$  and fix an  $n$ -form  $\theta$  cpty. supported on  $N_1 \cap N_2$  s.t.  $\int_M \theta = 1$ .

Choose a partition of 1 sub. to  $\{N_1, N_2\}$ .

$$\{\varphi, 1-\varphi\}$$

$$\text{supp } \varphi \subseteq N_1, \text{ supp } (1-\varphi) \subseteq N_2.$$

$$\text{let } \alpha_1 := \varphi \cdot \omega, \quad \alpha_2 := (1-\varphi) \cdot \omega.$$

$$\text{Put } c = \int_M \varphi \omega.$$

$$\beta_1 := \varphi \omega - c\theta, \quad \beta_2 := \alpha_2 + c\theta.$$

$$\text{Then, } \int_M \beta_1 = \int_M \beta_2 = 0.$$

$$\text{But } \text{supp } \beta_i \subseteq N_i. \quad \therefore \int_{N_i} \beta_i = 0.$$

$$\text{By (*)}, \quad \beta_i = d\eta_i \quad \text{on } N_i.$$

$\eta_i$  compactly supp on  $N_i$ .

by " ;  $\beta_i = \alpha_i$  on  $N_i$ .

$\eta_i$  compactly supp on  $N_i$ .  
Can extend to  $M$  by 0.

Now,  $\omega = \alpha_1 + \alpha_2 = \beta_1 + \beta_2 = d(\eta_1 + \eta_2)$ .  $\square$

---

## Sard's Theorem

Thm

Let  $F: M \rightarrow N$  be a  $C^\infty$  map of manifolds.

Let  $R_0(F) \subseteq N$  denote the set of regular values of  $F$ .

Then,  $R_0(F)$  has full measure in  $N$ .

(That is,  $\mu(R_0(F))$  is full measure  
in every chart on  $N$ .)

Recall:  $n \in N$  is regular

$\Leftrightarrow DF(x)$  is onto for all  $x \in F^{-1}(n)$ .

Cor. If  $\dim(M) < \dim(N)$ , then  $\text{im}(M)$  has zero measure under  $C^\infty$  maps.

Case.  $M = N = [0, 1]$ .

$A := \sup \{ |F'(x)|, |F''(x)| : x \in [0, 1] \}$ .

For  $k \in \mathbb{N}$ , define  $I_j^k := \left[ \frac{j}{k}, \frac{j+1}{k} \right] \subseteq [0, 1]$ .  
 $0 \leq j \leq k-1$

Assume  $C =$  critical points satisfies

$C \cap I_j^k \neq \emptyset$ .

(pick  $x$  here)



Taylor's thm:  $|F(x) - F(j/k)| \leq A |x - j/k|^2$

↳ pick  $x$  here

Taylor's thm:  $|F(x) - F(j/k)| \leq A \left| x - \frac{j}{k} \right|^2$

(expand around  $x$ )  $|F(x) - F(j/k)| \leq A \left| x - \frac{j+1}{k} \right|^2$

$\Rightarrow \text{Im}(I_j^k) \subseteq B\left(F(x), \frac{A}{k^2}\right)$

$$l(F(c)) \leq k \cdot \frac{2A}{k^2} = 2A/k.$$

$$\#\{j : c \cap I_j^k \neq \emptyset\}$$

let  $k \rightarrow \infty$  □

How to adapt for higher? Replace  $I_j^k$  by

$$I_j^k = \left[ \frac{j_1}{k}, \frac{j_1+1}{k} \right] \times \dots \times \left[ \frac{j_m}{k}, \frac{j_m+1}{k} \right].$$

Need vanishing of all  $< L$  order derivatives. (\*)

Then,

$$|F(x) - F(a)| \leq A |x - a|^L \quad \forall a \in \dots$$

$L$  to be fixed.

Then,  $F(I_j^k) \subseteq B\left(F(x), \frac{A}{k^L}\right)$ .

$$\Rightarrow \text{vol}_n(F(c)) \leq k^m \cdot c \cdot \left(\frac{A}{k^L}\right)^n \leq CA^n \frac{k^m}{k^{Ln}}$$

Need  $L > m/n$ . ✓

How do we get (\*)? Stronger assumption than critical point.

Last trick:  $C := \{\text{all critical pts}\}$   
 $C_k := \{x : \text{all partials of order } < k \text{ vanish at } x\}$

Lemma:  $\text{vol}_n(F(C \setminus C_1)) = 0, \dots, \text{vol}_n(F(C_{k+1} \setminus C_k)) = 0.$

$\hookrightarrow$  Induction.

## Sard's Theorem

If  $F: M \rightarrow N$  is a  $C^\infty$  map between manifolds, and  $C_c(F)$  denotes the set of critical points of  $F$ , then  $F(C_c(F))$  has measure zero in  $N$ .

( $C_c(F)$  could be large.)

---

## Degree

$f: S^1 \rightarrow S^1$ .  $\deg(f) = \#$  of windings



$$S^1 = \mathbb{R}/\mathbb{Z}.$$

Pick lift  $\tilde{f}: \mathbb{R} \rightarrow \mathbb{R}$ .

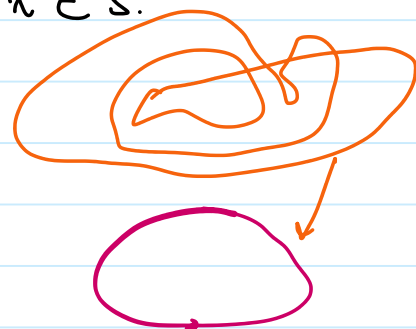
$$\deg(f) = \tilde{f}(1) - \tilde{f}(0).$$

2 other ways: ① Choose a <sup>regular</sup> point  $x \in S^1$ .

For each point  $y \in \pi^{-1}(x)$ , look at  $df: T_y S^1 \rightarrow T_x S^1$ .

Assign + or - depending on orientation rev/prev.

Then,  $\Sigma$  up.



② Fix any volume form  $\omega$ .

$$\deg(f) = \int f^* \omega.$$

$$\text{Let } \deg(f) = \frac{\int f^* \omega}{\int \omega}.$$

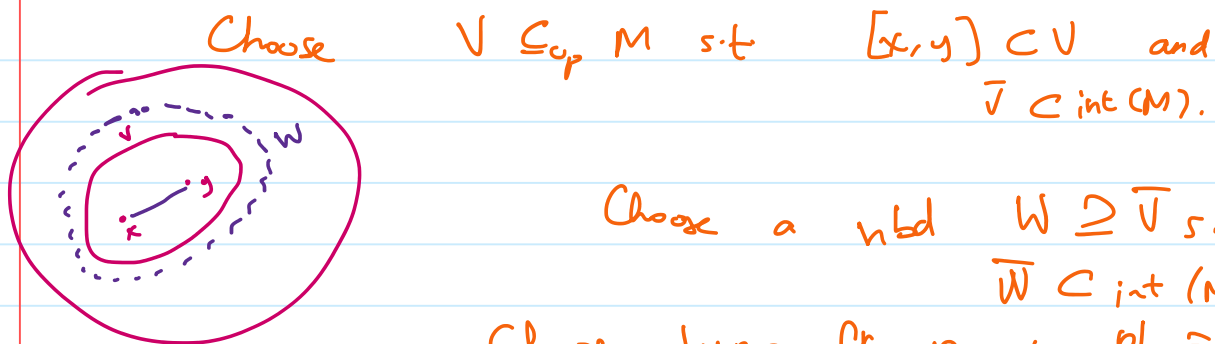

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Theorem. If  $M$  is a connected manifold,  $\text{Diff}^\infty(M)$  acts transitively on  $M$ .

(I.e., given  $x, y \in M$ ,  $\exists f: M \rightarrow M$  diffeo s.t.  $f(x) = y$ .)

Proof. First let  $M = \overline{B_d(0,1)}$ .

Will show that for every  $x, y \in \text{int}(M)$ ,  $\exists$  diffeo  $f: M \rightarrow M$  s.t.  $f(x) = y$  and  $f \equiv \text{id}$  on a nbd of  $S^1$ .



Choose a nbd  $W \supseteq \bar{V}$  s.t.  $\bar{W} \subset \text{int}(M)$ .

Choose bump fn  $\varphi$  s.t.  $\varphi|_V \equiv 1$  and  $\text{supp } \varphi \subseteq W$ .

Let  $v_0$  be the constant v.f.  $y - x$ .  
Let

$$v = \varphi \cdot v_0.$$

Let  $\Psi_t$  be the flow gen. by  $v$ , and define  $f = \Psi_1$ . This does the job.

General: Join  $x \rightsquigarrow y$  with balls.

# Lecture 30 (14-11-2022)

Monday, November 14, 2022 10:46 AM

Standing assumptions:  $M$  is compact, oriented, connected.  
 (SA)  $N$  is oriented, connected,  $\dim(M) = \dim(N)$ .

Defn Let  $F: M \rightarrow N$  be  $C^\infty$ , and  $y \in N$  be a regular value. Define

$$\deg(F) = \sum_{p \in F^{-1}(y)} \sigma(DF(p)),$$

where

( $F^{-1}(y)$  is a 0-dim'l submanifold and hence finite.)

$$\sigma(A) = \begin{cases} +1, & \text{if } A \text{ preserves orientation,} \\ -1, & \text{if } A \text{ reverses orientation.} \end{cases}$$

Thm 1  $\deg$  is well-defined (i.e., independent of  $y$ ).  
 $\deg$  is locally constant in the  $C^1$ -topology and invariant under homotopy.  
 ( $\deg F = \deg G$ , if  $F$  and  $G$  close enough)

Remark. If  $F$  is not onto, then  $\deg(F) = 0$ .

Ex. let  $M = N = \mathbb{T}^n = \mathbb{R}^n / \mathbb{Z}^n$ . let  $F: \mathbb{T}^n \rightarrow \mathbb{T}^n$ .

Can show:  $\exists A \in M_n(\mathbb{Z}) \exists \varphi: \mathbb{T}^n \rightarrow \mathbb{T}^n$  s.t.

$$F(x) = Ax + \varphi(x). \quad (A \text{ unique.})$$

Then,  $\deg(F) = \det(A)$ .

$\rightarrow$  If  $A$  not invertible, use remark.  
 $\rightarrow$  Else,  $Ax = y \pmod{1}$

$$\Leftrightarrow x = A^{-1}y + A^{-1}m \text{ for some } m \in \mathbb{Z}^n$$



$$[\mathbb{Z}^n : A \mathbb{Z}^n] = |\det(A)| \dots$$

Cor. 0 If  $f$  is a diffeo, then  $A \in GL(n, \mathbb{Z})$ . ( $\det(A) = \pm 1$ )  
 ② If  $f$  not onto, then  $\det(A) = 0$ .

Prop<sup>n</sup> 2.

Let  $M, N$  satisfy the standing assumption.

Let  $H: I \times M \rightarrow N$  be a  $C^\infty$  homotopy.

Assume  $y$  is a regular value of both  $H_0$  and  $H_1$ .

$$H|_{I_0 \rightarrow} \quad H|_{I_1 \rightarrow}$$

Then,

$$\sum_{p \in H_0^{-1}(y)} \sigma(DH_0(p)) = \sum_{p \in H_1^{-1}(y)} \sigma(DH_1(p))$$

Proof of well-definedness using Prop<sup>n</sup> 2.:

Let  $F: M \rightarrow N$  be as before.

Let  $y, y' \in N$  be regular values.

As seen last time,  $\exists$  flow  $\varphi_t$  s.t.  $\varphi_1(y) = y'$ .

Define  $H: I \times M \rightarrow N$  by  
 $H(t, x) = \varphi_{-t}(x)$ .

Now use the previous prop<sup>n</sup>. □

Prop<sup>n</sup> also shows that  $\deg$  is homotopy invariant.

(Use Sard's to find a common reg. value.)

We now try to prove the prop<sup>n</sup>.

Lemma 2.

Let  $M, N$  satisfy (SA), and  $F: M \rightarrow N$  is  $C^\infty$ .

Let  $y \in N$  be regular value of  $F$ .

Then,  $\exists$  nbhd  $U$  of  $y$  and nbhds  $V_p$  of every  $p \in F^{-1}(y)$

such that  $F|_{V_p}$  is a diffeo onto  $U$ .

Also,

$$\dots$$

Also,

$$F^{-1}(y) = \bigcup_{p \in F^{-1}(y)} V_p.$$

Proof. For each  $p \in F^{-1}(y)$ ,  $D_p F$  is an isomorphism.  
 $\therefore \exists$  nbd  $U_p$  of  $p$  and  $U_p$  of  $y$  s.t.  $F|_{U_p}$  is a diffeo onto  $U_p$ .

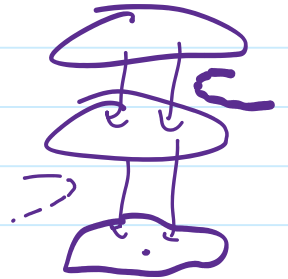
WLOG,  $U_p$ 's are disjoint. (Hausdorff, only finitely many  $p$ .)

Let  $U' = \bigcap_p U_p$ . This is open since finite intersection.

$$\text{Set } V_p' = (F|_{U_p})^{-1}(y).$$

Now, we know

$$F^{-1}(y) \supseteq \bigcup_p V_p'.$$



But equality is not known.

Need compactness to shrink  $U'$  further.

Choose a compact nbd  $K$  of  $y$ , contained in  $U'$ .

Then,  $F^{-1}(K) \stackrel{\subseteq M}{\text{is}}$  closed and hence compact.

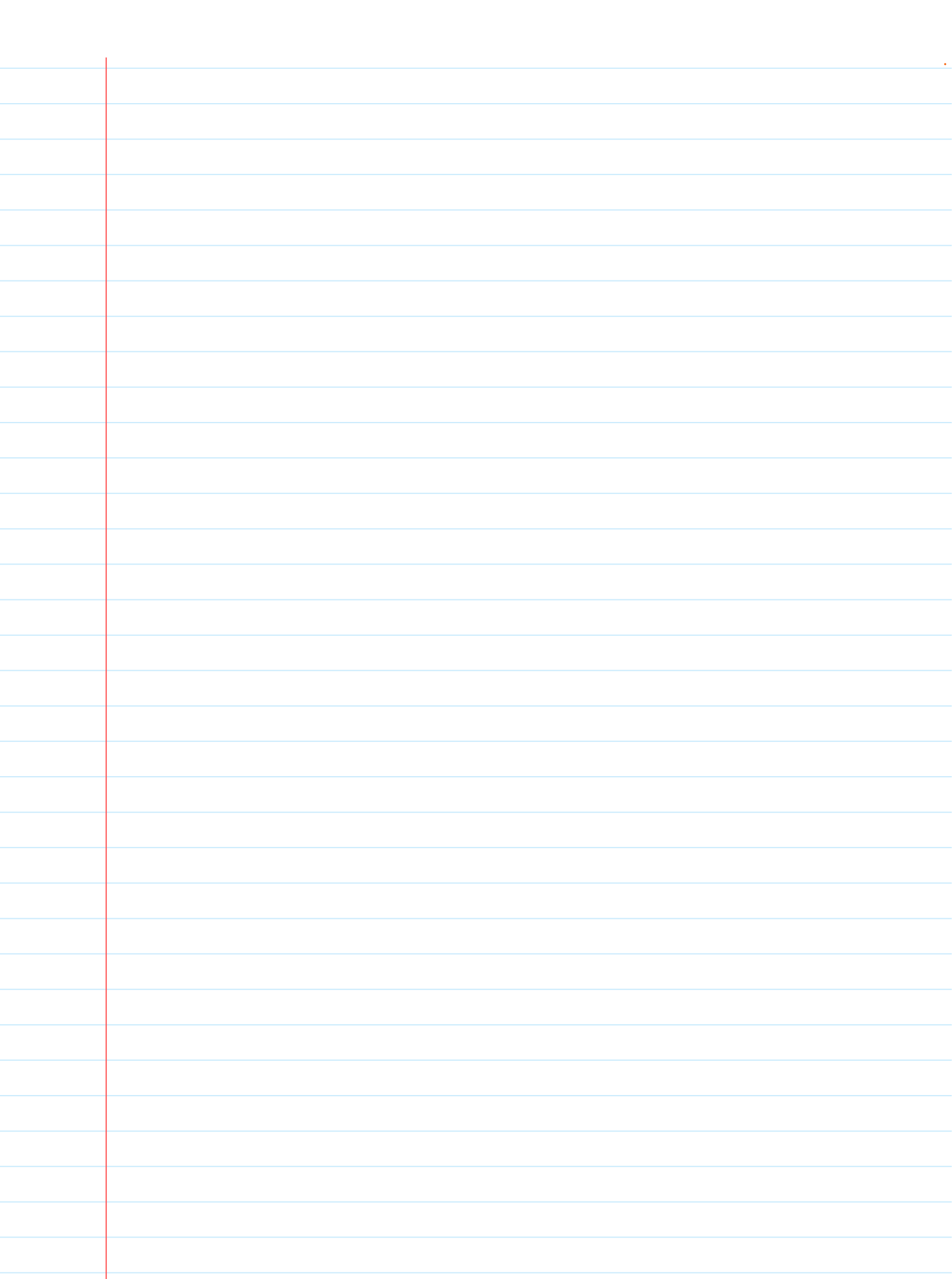
Then,  $F^{-1}(K) \setminus \bigcup_p V_p'$  is again closed and compact.

Moreover,  $\bigcup_p V_p'$  does not contain any point of  $F^{-1}(y)$ .

Then,  $C = F(F^{-1}(K) \setminus \bigcup_p V_p')$  is a compact and hence, closed subset of  $U'$  which does not contain  $y$ .

Now, by separation,  $\exists U \subseteq U'$  s.t.  $U \cap C = \emptyset$  and  $y \in U$ .

$U$  now does the job...  $\square$



# Lecture 31 (16-11-2022)

Wednesday, November 16, 2022 10:37 AM

Standing assumptions:  $M, N \rightarrow$  connected, oriented  
 $M$  compact  
 $\dim M = \dim N$   
 $f: M \rightarrow N$  is  $C^\infty$ .

$$\deg(F) := \sum_{p \in F^{-1}(y)} \sigma(DF(p)),$$

$y$  is any regular value.

Prop'n If  $F: I \times M \rightarrow N$  is a homotopy between  $f_0$  and  $f_1$ , then

$$\sum_{p \in F_0^{-1}(y)} \sigma(DF_0(p)) = \sum_{p \in F_1^{-1}(y)} \sigma(DF_1(p)),$$

where  $y$  is a common reg. value.

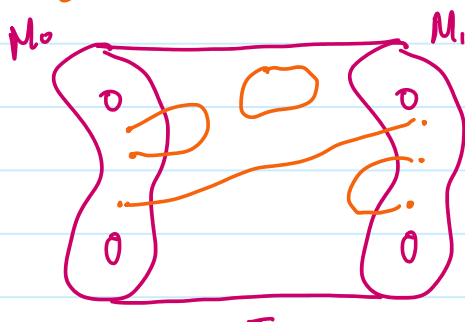
Last time: Under (SA), the regular values are open,  
and  $F$  is a local covering map at regular values.

Idea: We assume  $y$  is a reg. value for  $f_0$  and  $f_1$ .

By perturbing the nbd, we may assume  $y$  is  
a regular value for  $F$ . (By Sard's)

→ Apply regular value/submersion theorem to understand  $F^{-1}(y)$ .

$F^{-1}(y) = 1$ -manifold with boundary



$F^{-1}(y)$

→ union of closed intervals  
and circles.  
Moreover, endpoints of



and circles.  
Moreover, endpoints of closed intervals are in  $\{0\} \times M$  or  $\{1\} \times M$ .

Lemma. If  $p, q \in F_0^{-1}(y) \cup F_1^{-1}(y)$  then

$$\sigma(D_{F_1(p)}(p))(-1)^{i(p)} = -(-1)^{i(q)} \sigma(D_{F_1(q)}(q)),$$

whenever  $(i(p), p)$  and  $(i(q), q)$  are endpoints of a closed interval in  $F^{-1}(y)$ .

Proof. Let  $\gamma$  be a parameterisation of the closed interval connecting  $p, q$  in  $I \times M$ .  $\gamma(0) = (i(p), p)$ ,  $\gamma(1) = (i(q), q)$ .

$$\gamma'(t) \in T_{\gamma(t)}(I \times M) \text{ in } \ker DF(y). \quad \left( F(\gamma(t)) = y \right)$$

But  $\nearrow$  is 1-dim'l.

$$\therefore \ker DF(\gamma(t)) = \langle \gamma'(t) \rangle.$$

Remark 1. The bundle  $T(I \times M)$  is trivial over  $\text{im}(\gamma)$ .

Thus, can pick a continuously varying distribution  $E_t \subseteq T_{\gamma(t)}(I \times M)$  which is complementary to  $\langle \gamma'(t) \rangle$ .


Fix an orientation  $O_t$  on  $E_t$ .

Note:  $D_{F_1} O_t$  is either always +vely or always -vely oriented.

$$\Rightarrow F_* O_0 = F_* O_1.$$

Similarly, if  $(w_1(t), \dots, w_n(t))$  is a +vely oriented frame of  $E_t$ , then  $(\gamma'(t), w_1(t), \dots, w_n(t))$  is either always +vely or always -vely oriented.

of  $v_0, \dots, v_{n-1}$  is either always truly or always never oriented.

Hence,  $D_0$  and  $D_1$  induce opposite orientations on  $M$ , since  $\gamma'(t)$  points in at 0 and out at 1. 

## Degree using differential forms.

Theorem.

Assume (SA).

Let  $\omega \in \Omega^n(N)$  be compactly supported. Then,

$$\int_M f^* \omega = \deg(f) \int_N \omega.$$

Proof.

Case:  $\text{supp}(\omega) \subseteq U$ , where  $U$  is a nbd of a regular value with the covering property.

$$\Rightarrow \int_M f^* \omega = \sum_{p \in f^{-1}(y)} \int_{V_p} f^* \omega$$

$$\begin{array}{c} \circlearrowleft \cdot p_n \\ \vdots \\ \circlearrowleft \cdot p_1 \end{array} \quad V_{p_i} \subseteq M$$

$$= \sum_{p \in f^{-1}(y)} \int \text{sign}(Df|_{V_p}) \cdot \omega$$

$$\circlearrowleft \cdot y \quad U \subseteq N$$

$$= \deg(f) \cdot \int_N \omega.$$

General: cover and partition of unity.

Need to worry about critical values.

For any  $y' \in N$ , choose a flow  $\varphi_t$  such that  $\varphi_t(y) = y'$ . Then,  $\varphi_1(U) =: U'$  is a nbd of  $y'$ .

Assume  $\text{supp} \omega \subseteq U$ . Then,  $\text{supp}(\varphi_1^* \omega) \subseteq U'$ .

$$\Rightarrow \int_M f^*(\varrho_i^* \omega) = \deg(f) \int_N \varrho_i^* \omega = \deg(f) \int_N \omega. \dots \quad \square$$

$$\parallel \text{Lemma}$$

$$\int_M f^* \omega$$

Lemma. If  $f: I \times M \rightarrow N$  is a  $C^\infty$  homotopy from  $f$  to  $g$ , then

$$\int_M f^* \omega = \int_M g^* \omega,$$

for all closed forms  $\omega$ .

$$\text{Proof. } \int_M g^* \omega - \int_M f^* \omega = \int_{\{0\} \times M} g^* \omega - \int_{\{1\} \times M} f^* \omega$$

$$= \int_{I \times M} dF^* \omega = \int_{I \times M} F^* d\omega = 0. \quad \square$$





Defn.

A vector field  $X$  on  $G$  is called **right invariant** if:  $(Rg)_* X = X$  for all  $g \in G$ .  
 $\text{Lie}(G) = \text{space of right-invariant v.f.s.}$

Note:  $(Rg)_*$  is linear.

Thus, the space of right-invariant v.f.s form a vector space.

Thm.

The map

$$\begin{aligned} \text{ev}: \text{Lie}(G) &\longrightarrow T_e(G) \\ X &\longmapsto X(e) \end{aligned}$$

is an isomorphism of vector spaces.

In particular,

$$\dim_v(\text{Lie}(G)) = \dim(G).$$

Proof

Suppose  $v \in T_e(G)$ . Define

$$X_v(g) := DR_{g(e)}(v).$$

Claim 1:  $X_v$  is a (r.i.) v.f. on  $G$ . (Check Lee.)

Claim 2:  $X_v$  is right invariant.

Pf. Let  $h \in G$ .

$$\begin{aligned} (R_h)_*(X_v)(g) &= DR_h(gh^{-1})(X_v(gh^{-1})) \\ &= DR_h(gh^{-1})(DR_{gh^{-1}(e)}(v)) \end{aligned}$$

) Chain Rule

$$= DR_g(e)(v)$$

$$= X_v(g).$$

□



# Lecture 33 (21-11-2022)

Monday, November 21, 2022 10:45 AM

A **Riemannian metric** <sup>(on  $M$ )</sup> is a section of a vector bundle which assigns each  $x \in M$  an inner product on  $T_x M$   $\langle \cdot, \cdot \rangle_x$ .

Thm.

If  $X$  is a right invariant vector field on a Lie group  $G$ , and  $\varphi_t^X$  is the flow generated by  $X$ , then

$$f_X(t) := \varphi_t^X(e)$$

is a homomorphism  $\mathbb{R} \rightarrow G$ .

Moreover,

$$\varphi_t^X(g) = f_X(t) \cdot g.$$

Proof. Fix  $h \in G$ . Then,  $(R_h)_* X = X$ .  
Then,

$$R_h \circ \varphi_t^X = \varphi_t^X \circ R_h.$$

Thus,  $\varphi_t^X(e)h = \varphi_t^X(h)$  for all  $h \in G$ .  
— ①

$$\begin{aligned} \text{Thus, } f_X(t+s) &= \varphi_{t+s}^X(e) \\ &= \varphi_t^X(\varphi_s^X(e)) \\ &= \varphi_t^X(e) \varphi_s^X(e). \end{aligned} \quad \text{②}$$

$\therefore f$  is a homomorphism.

$$\text{lastly, } \varphi_t^X(g) = \varphi_t^X(e) \cdot g = f_X(t) \cdot g. \quad \square$$

Example. Find the right invariant vector fields for

$$H = \left\{ \begin{pmatrix} 1 & x & z \\ & 1 & y \\ & & 1 \end{pmatrix} : x, y, z \in \mathbb{R} \right\}$$

$$\text{s.t. } X(e) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

And their corresp 1-parameter subgroup.

$$T_e H = \left\langle \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \right\rangle.$$

$$\gamma(t) = \begin{pmatrix} 1 & t & 0 \\ & 1 & 0 \\ & & 1 \end{pmatrix} \text{ is a homomorphism.}$$

The vf is then

$$X(g) = DR_g \gamma'(0)$$

$$\stackrel{=}{=} \frac{d}{dt} \Big|_{t=0} [\gamma(t)g] = \frac{d}{dt} \Big|_{t=0} [R_g \gamma]$$

If  $G \subseteq GL(d, \mathbb{R})$  is a matrix group and  $X$  is a right invariant vector field,

$$f_X(t) = \sum_{k=0}^{\infty} \frac{(tX(e))^k}{k!}.$$

Def.

$\mathfrak{L}(G)$  is the space of right-invariant vectors

Defn

If  $\text{Lie}(G)$  is the space of right-invariant vector fields, define

$$\begin{aligned} \exp: \text{Lie}(G) &\longrightarrow G \\ x &\longmapsto f_x(e). \end{aligned}$$

We've show that  $\exp|_L$  is a homomorphism whenever  $L$  is a line through the origin.

## Adjoint Representation

$$\begin{aligned} \text{Ad}: G &\longrightarrow GL(\text{Lie}(G)) \\ g &\longmapsto D_e(h \mapsto ghg^{-1}) \end{aligned}$$

$$\text{Ad}(g): \text{Lie}(G) \rightarrow \text{Lie}(G)$$

$$\begin{aligned} &\nearrow \text{Te}G, \text{ then } D_e(h \mapsto ghg^{-1}) \\ \text{Lie}(G) & \\ &\searrow \text{RIVF, } D_g \end{aligned}$$

Adjoint rep detects how non-abelian the group is.

Defn

let  $x \in \text{Lie}(G)$  be a RIVF. Define

$$\text{ad}(x) = \left. \frac{d}{dt} \right|_{t=0} \text{Ad}(f_x(t)).$$

$$\text{ad}(x): \text{Lie}(G) \rightarrow \text{Lie}(G).$$

Theorem

$$\text{ad}(x)y = [x, y] \quad \forall x, y \in \text{Lie}(G).$$

Remark.  $x, y$  RIVFs:  $(R_g)_* [x, y] = [R_{g*} x, R_{g*} y]$   
 $= [x, y]$ .  
 $\therefore [x, y]$  is again  $r$ -inv.

Theorem. If  $G, H$  are <sup>connected</sup> Lie groups,  $G$  simply connected,  
and  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  is a Lie algebra homomorphism.  
(That is,  $\phi[x, y] = [\phi(x), \phi(y)]$ .)

Then,  $\exists!$  <sup>homomorphism</sup>  $\tilde{\phi}: G \rightarrow H$  s.t.  $\tilde{\phi}_*|_{\text{Lie}(G)} = \phi$ .

Theorem. Assume  $M$  is a  <sup>$G \rightarrow$  simply connected</sup> connected  $C^\infty$ -manifold and  
 $\exists \phi: \text{Lie}(G) \rightarrow \mathfrak{X}^\infty(M)$   
 $\hookrightarrow C^\infty$  v.f.s on  $M$   
s.t.  $\phi([x, y]) = [\phi(x), \phi(y)]$ .

Then,  $\exists$  unique group action  $\tilde{\phi}: G \times M \rightarrow M$   
s.t.  $\forall$  1-parameter subgroup of  $G$ ,

$$\frac{\partial}{\partial t} (\tilde{\phi}(f_x(t), x)) = \phi(x)(x).$$

# Lecture 33 (21-11-2022)

Wednesday, November 23, 2022 10:42 AM

Lemma. If  $G \subseteq GL(d, \mathbb{R})$  is a Lie subgroup,  $g \in G$ , and  $X$  is a RIVF on  $G$ , then

$$(Ad(g)X)(e) = g X(e) g^{-1}$$

$GL(d, \mathbb{R}) \subseteq \mathbb{R}^{d^2}$   
open  
identify  
 $T_e GL(d, \mathbb{R}) \cong \mathbb{R}^{d^2}$

Proof.  $(Ad(g)X)(e) = ((L_g)_* X)(e)$

$$= DL_g(g^{-1})(X(g^{-1}))$$

$$= DL_g(g^{-1})(DR_{g^{-1}}(e)(X(e)))$$

$$= DC_g(e)(X(e))$$

$$= g X(e) g^{-1}$$

def<sup>n</sup> of  $(L_g)_*$

since  $X$  is RIVF

$(g(h) = ghg^{-1})$

derivative of multi is itself  $\square$

Lemma. Under the same setup. If  $X, Y \in \text{Lie}(G)$  are RIVFs, then

$$\textcircled{1} \text{ ad}(X)(Y) = [X, Y] \quad (\text{no need for matrix groups})$$

and

$$\textcircled{2} [X, Y](e) = X(e)Y(e) - Y(e)X(e)$$

Proof.  $\textcircled{1} \text{ ad}(X)(Y) = \frac{d}{dt} \Big|_{t=0} [Ad(\exp(tX))Y]$

$$= \frac{d}{dt} \Big|_{t=0} (L_{\exp(tX)})_* Y$$

$$= \frac{d}{dt} \Big|_{t=0} (Y_t^X)_* Y = [X, Y]$$

$$= \left. \frac{d}{dt} \right|_{t=0} (\varphi_t^x)_* Y = [X, Y].$$

$$\textcircled{2} (\text{ad}(X) Y)(e) = \left. \frac{d}{dt} \right|_{t=0} (\text{Ad}(\exp(tX)) Y)(e) \quad \downarrow \text{prev. lemma}$$

$$= \left. \frac{d}{dt} \right|_{t=0} \left[ \exp(tX) Y(e) \exp(-tX) \right] \quad \downarrow \text{product rule}$$

$$\therefore \left. \frac{d}{dt} \right|_{t=0} \exp(tX) = X(e) \quad \therefore X(e) Y(e) - Y(e) X(e). \quad \square$$

$$\ker(\text{Ad}) = \mathcal{Z}(G). \quad (G \text{ connected.})$$

Theorem 1 Let  $\bar{\phi}: G \rightarrow H$  be a  $C^\infty$  group homom. of Lie groups.  
 Then,  $\exists!$  linear map  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$   
 s.t.

$$D\bar{\phi}(g)(X(g)) = (\phi(X))(\bar{\phi}(g)).$$

for all RUVF  $\phi \in \text{Lie}(G)$

Furthermore,

$$[\phi(X_1), \phi(X_2)] = \phi([X_1, X_2]) \quad \forall X_1, X_2 \in \text{Lie}(G).$$

Any linear  $\phi$  satisfying this is called  
 a Lie Algebra homomorphism.

Theorem 2. Let  $G$  be a connected, simply-connected,  
 and  $H$  be connected.

$\exists \phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  is a Lie algebra homomorphism,



una ... connected.

$\exists \phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  is a Lie algebra homomorphism,  
then

$$\exists! \Phi: G \rightarrow H$$

such that  $\phi$  is the map induced by  $\Phi$  (as per Thm 1).

Proof.

The PLAN: Construct  $\Phi$  by finding its graph  $\Gamma \subseteq G \times H$ .

-  $\Gamma$  should be a  $\dim(G)$ -dim'l submanifold.

•  $\Gamma$  is a subgroup.

•  $\Gamma$  is the leaf of a weak foliation.

• Use Frobenius to build foliation.

Take  $\Gamma$  to be leaf through origin.

fix  $x \in \text{Lie}(G)$ , and let  $\tilde{X}$  be defined on  $G \times H$  by

$$\tilde{X}(g, h) = (X(g), \phi(X)(h)).$$

$\phi$  is a v.space homomorphism

$$\Downarrow \tilde{x} + \tilde{y} = \tilde{x+y}, \quad c\tilde{x} = \tilde{cx}$$

$$\mathcal{D} := \text{span}_{\mathbb{R}} \{ \tilde{X} : X \in \text{Lie}(G) \}$$

is a  $\dim(G)$ -dim'l distribution  
on  $G \times H$ .

$\phi$  is a Lie Algebra homom  $\Rightarrow \mathcal{D}$  is involutive.

$$\begin{aligned} \hookrightarrow [\tilde{x}, \tilde{y}] &= [(X, \phi(X)), (Y, \phi(Y))] \\ &= ([X, Y], [\phi(X), \phi(Y)]) \\ &= ([X, Y], \phi([X, Y])) \in \mathcal{D} \end{aligned}$$

Thus,  $\exists!$  foliation  $\mathcal{F}$  s.t.  $T\mathcal{F} = \mathcal{D}$ .

Let  $\Gamma$  be the leaf containing  $(e_G, e_H)$ .

$\therefore \Gamma$  is a  $\dim(G)$ -dim'l submanifold.  
(immersed)

Notice :  $\forall (g, h) \in G \times H, \quad D\pi_G(g, h) \left( \tilde{X}(g, h) \right) = X(g).$

$\Rightarrow \pi_G|_{\Gamma} : \Gamma \rightarrow G$  is a submersion.

By dimension, it is  
a local diffeo.

$\Rightarrow \pi_G|_{\Gamma}$  is a covering map

$\Rightarrow \pi_G|_{\Gamma}$  is a diffeo, since  $G$  is simply connected.

Now define  $\Phi : G \rightarrow H$   
 $g \mapsto \pi_H \circ (\pi_G|_{\Gamma})^{-1}.$

# Lecture 34 (28-11-2022)

Monday, November 28, 2022 10:41 AM

Theorem. Let  $G$  be a connected, simply-connected Lie Group, and  $H$  be a Lie Group. If  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  is a Lie algebra homomorphism, then  $\exists! \bar{\Phi}: G \rightarrow H$  s.t.  $(\bar{\Phi})_* = \phi$ .

Pf. Let  $\mathcal{L} := \{ (x, \phi(x)) : x \in \text{Lie}(G) \}$  is an involutive distribution on  $G \times H$ .

$\Gamma \rightarrow$  leaf through  $e$ .  
 $\pi_G|_{\Gamma}$  is a local diffeo, hence diffeo. (\*)

Claim.  $\bar{\Phi} = \pi_H \circ (\pi_G|_{\Gamma})^{-1}$  is a homomorphism.

Pf of Claim. Step 1. If  $X \in \text{Lie}(G)$ , then

$$\bar{\Phi}(\exp(tx)) = \exp(t\phi(X)).$$

( $\varphi, \phi, \bar{\Phi}$  different)

$$\begin{aligned} \bar{\Phi}(\varphi_t^X(e)) &= \exp_t^{\phi(X)}(e) \\ &= \pi_H(\varphi_t^X(e), \varphi_t^{\phi(X)}(e)) \end{aligned}$$

Then,

$$\bar{\Phi}(\exp((t+s)X)) = \bar{\Phi}(\exp(tX)) \bar{\Phi}(\exp(sX)).$$

Step 2. If  $g$  is sufficiently close to the identity. Then,  $\bar{\Phi}(gh) = \bar{\Phi}(g) \bar{\Phi}(h)$  for all  $h$ .

Proof. Write  $g = \exp_B(X)$ .

Define  $\gamma: \mathbb{R} \rightarrow H$  by

$$\gamma(t) = \exp_H(-t\phi(X)) \bar{\Phi}(\exp_B(tX)h).$$

( $\exp(-t\phi(X))X = 0$ )

$$\left( \text{Ad}(\exp(tx))X = 0. \right)$$

$$\gamma'(s) = -\phi(x)\gamma(s) + \phi(x)\gamma(s)$$

Then,  $\gamma'(t) = 0$ . (Compute.)  $\therefore \gamma$  constant.

$$\gamma(0) = \exp_{\mathfrak{H}}(0) \bar{\Phi}(\exp_{\mathfrak{H}}(0)h)$$

$$= \bar{\Phi}(h).$$

$$\gamma(1) = \exp_{\mathfrak{H}}(-\phi(x)) \bar{\Phi}(\exp_{\mathfrak{H}}(x)h)$$

$$= (\exp_{\mathfrak{H}}(\phi(x)))^{-1} \bar{\Phi}(gh)$$

$$= \bar{\Phi}(g)^{-1} \bar{\Phi}(gh).$$

$$\therefore \bar{\Phi}(g) \bar{\Phi}(h) = \bar{\Phi}(gh).$$

Step 3. If  $g = g_1 \cdots g_k$  and each  $g_i$  is sufficiently close to the identity, then  $\bar{\Phi}(gh) = \bar{\Phi}(g) \bar{\Phi}(h)$ .

Clear.

But how any  $g$  can be written as such a product since  $G$  is connected.

(Lemma. If  $U \subseteq G$  is an open nbd of  $e$ , then  $\langle U \rangle = G$ .)

This proves the claim.  $\square$

$(\bar{\Phi})_* = \varphi$  is clear. (Uniqueness left...)  $\square$

$$\begin{aligned} \hookrightarrow D\bar{\Phi}(g)X(g) &= D\pi_{\mathfrak{H}} \left( (D\pi_G|_{\mathfrak{r}})^{-1}(X(g)) \right) \\ &= D\pi_{\mathfrak{H}}(X(g), \phi(x)(\bar{\Phi}(g))) \\ &= \phi(x)(\bar{\Phi}(g)). \quad \checkmark \end{aligned}$$

(\*) Why was  $\pi_G|_{\mathfrak{r}}$  above a covering map?

Once we get a nbd of one point, we can translate using group

... point, we can recover  
using group  
structure.

Remark. This same technique builds group action on manifold  
whenever

$$\exists \phi: \text{Lie}(G) \longrightarrow \mathcal{H}^\infty(M) \text{ s.t.} \quad \text{---} \rightarrow C^\infty \text{ vts on } M$$
$$\phi([\xi, \eta]) = [\phi(\xi), \phi(\eta)].$$

## Homogeneous Spaces

If  $G \curvearrowright M$  is transitive,  $x \in M$ ,  
and  $H = \text{Stab}(x) \subseteq G$ , then  $G/H \cong M$ .  
↑  
diffeo

# Lecture 35 (30-11-2022)

Wednesday, November 30, 2022 10:38 AM

Thm. Let  $G$  and  $H$  be connected Lie groups,  $G$  simply-connected, and  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  be a Lie algebra homomorphism.  
Then,  $\exists! \Phi: G \rightarrow H$  s.t.  $(\Phi)_* = \phi$ .

Cor. If  $H, G \rightarrow$  simply-connected are s.t.  $\text{Lie}(G) \cong \text{Lie}(H)$ ,  
then  $G \cong H$ .

Cor. Every (center-free) <sup>simply-connected</sup> Lie group is a discrete extension of a matrix group.

Proof.  $\text{ad}: \text{Lie}(G) \rightarrow \text{End}(\text{Lie}(G))$  is an iso onto its image.  $\square$

Defn. A subspace  $\mathfrak{h} \subseteq \mathfrak{g}$  of Lie algebra is called a subalgebra if  $\forall X, Y \in \mathfrak{h}, [X, Y] \in \mathfrak{h}$ .

Thm. Let  $G$  be a group, and  $\mathfrak{g} = \text{Lie}(G)$ .  
• If  $H \leq G$  is a <sup>Lie</sup> subgroup, then  $\text{Lie}(H)$  is a subalgebra of  $\mathfrak{g}$ .  
• If  $\mathfrak{h} \subseteq \mathfrak{g}$  is a Lie subalgebra,  $\exists$  a Lie group  $H$  with  $\text{Lie}(H) = \mathfrak{h}$  and a homomorphism  $i: H \rightarrow G$  s.t.  $i_* = \text{id}_{\mathfrak{h}}$ .

Two examples of exponentiation:

$$G = \mathbb{T}^2, \quad \mathfrak{h} = \mathbb{R}^2 \quad \rightsquigarrow \quad \phi: \mathbb{R}^2 \rightarrow \mathbb{T}^2$$

$$G = \mathbb{T}^2, \quad \mathfrak{h} = \langle \vartheta \rangle \quad \vartheta \text{ has irrational slope.}$$

Defn. A subspace  $\mathfrak{h} \subseteq \mathfrak{g}$  of Lie algebra is called an **ideal** if  $\forall X \in \mathfrak{g}, \forall Y \in \mathfrak{h}, [X, Y] \in \mathfrak{h}$ .

Thm. If  $G$  is a <sup>connected</sup> Lie group and  $H \subseteq G$  is a <sup>connected</sup> Lie subgroup, then  $H$  is normal  $\Leftrightarrow \text{Lie}(H)$  is an ideal.

Proof.  $(\Rightarrow)$  Let  $X \in \text{Lie}(G), Y \in \text{Lie}(H)$ .

$$[X, Y] \stackrel{(\ast)}{=} \text{ad}(X) Y \stackrel{(\ast)}{=} \frac{d}{dt} \Big|_{t=0} [Ad(\exp(tx)) Y(t)]$$

$\hookrightarrow$  conjugation

$$= \frac{d}{dt} \Big|_{t=0} [D C_{\exp(tx)}(e)(Y)]$$

(1)  
 $T_e(H)$

$\therefore [X, Y] \in \text{Lie}(H)$ .

Sketch.

$(\Leftarrow)$  Assume  $\text{Lie}(H)$  is an ideal.

Fix  $g \in G, h \in H$  both close to identity.  
Write  $g = \exp(x), h = \exp(y)$  for  $x \in \text{Lie}(G), y \in \text{Lie}(H)$ .

Now,

$$\begin{aligned} \exp(x) \exp(y) \exp(-x) &= C_{\exp(x)}(\exp(y)) \\ &\stackrel{\text{formula}}{=} \exp(\text{Ad}(\exp(x)) Y) \\ &\stackrel{\text{formula}}{=} \exp\left(\underbrace{\sum_{k=0}^{\infty} \frac{\text{ad}(X)^k}{k!}}_{\in \mathfrak{h}} Y\right) \end{aligned}$$

$\in \mathfrak{h}$        $\in \mathfrak{h}$

Then group is "locally normal". Connectedness proves the result.  $\square$

Defn

$\mathfrak{g}$  is called **simple** if it has no nontrivial ideal and non abelian.

These are classified. Done by analyzing "maximal diagonal abelian subgroups" and how they act on  $\text{lie}(G)$ .



# Lecture 36 (02-12-2022)

Friday, December 2, 2022 10:47 AM

1. Find the connected Lie subgroups of  $SL(2, \mathbb{R})$  which contain  $\left\{ \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} : t \in \mathbb{R} \right\}$ .

Sol<sup>n</sup>

Pick such a subgroup  $H$ .

Consider  $\text{Lie}(H) \subseteq \mathfrak{sl}(2, \mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & -a \end{pmatrix} : a, b, c \in \mathbb{R} \right\}$ .

Note  $U = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \in \text{Lie}(H)$ .  $\text{ad}(U)Y = UY - YU$ .

Since  $\text{Lie}(H)$  is a subalgebra,  $\text{ad}(U)(\text{Lie}(H)) \subseteq \text{Lie}(H)$ .

Let  $X = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ .  $\{U, X, Y\} \rightarrow$  basis of  $\mathfrak{sl}(2, \mathbb{R})$ .

Let us write  $\text{ad}(U) : \mathfrak{sl}(2, \mathbb{R}) \rightarrow \mathfrak{sl}(2, \mathbb{R})$  as a matrix wrt above basis.

$$\text{ad}(U) = \begin{matrix} & \begin{matrix} U & X & Y \end{matrix} \\ \begin{matrix} U \\ X \\ Y \end{matrix} & \begin{pmatrix} 0 & -2 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \end{matrix}$$

$$\left( UX - XU = \begin{pmatrix} 0 & -2 \\ 0 & 0 \end{pmatrix} \right)$$

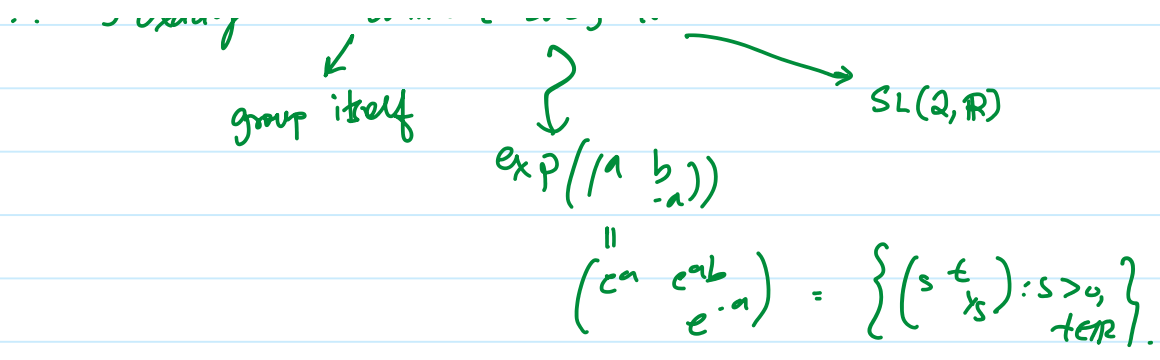
$$\left( UY - YU = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right)$$

$\therefore$  only inv. subspaces, are  $\text{spn}\{U\}$ ,  $\text{spn}\{U, X\}$ ,  $\text{spn}\{U, X, Y\}$  that contain  $U$ .

If two connected subgroups have the same Lie algebra, then they are equal.

equal as subalgebras of  $\text{Lie}(G)$

$\therefore \exists$  exactly 3 connected subgroups:  $\dots$  trivial  $\dots$   $SL(2, \mathbb{R})$



2. Compute  $\text{Lie}(SL(2, \mathbb{R}))$ .

Sol<sup>n</sup>  $\dim(SL(2, \mathbb{R})) = 3$ .  $\left[ \det: M(2, \mathbb{R}) \rightarrow \mathbb{R} \right]$   
 1 regular value.

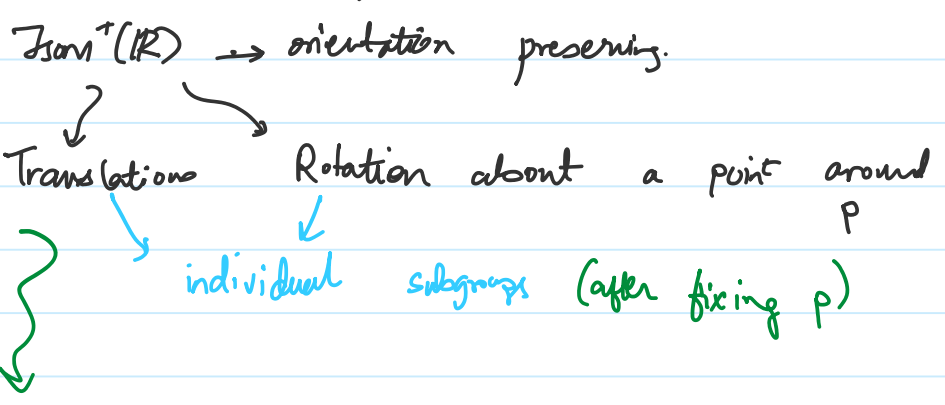
Let  $\gamma: (-\epsilon, \epsilon) \rightarrow SL(2, \mathbb{R})$  be any curve s.t.  $\gamma(0) = \text{id}$ .  
 (Recall:  $\text{Lie}(G) = T_{\text{id}}(G)$ .)

$\Rightarrow \det(\gamma(t)) = 1 \quad \forall t$   
 $\Rightarrow \frac{d}{dt} \det(\gamma(t)) = 0$   $\rightarrow D_{\text{id}}(\det) = \text{Tr}$   
 $\Rightarrow \text{Tr}(\gamma'(t)) = 0$

$\therefore \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$

check that their exponentials lie in  $SL \dots$

3. What is  $\text{Lie}(\text{Isom}(\mathbb{R}^2))$ ?



$\uparrow \uparrow \frac{\partial}{\partial y} = \gamma$

$\uparrow \Theta = x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x}$

$$\begin{array}{l} \uparrow \uparrow \frac{\partial}{\partial y} = Y \\ \rightarrow \frac{\partial}{\partial x} = X \end{array}$$

$$\Theta = x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x}$$

$X, Y, \Theta$  are enough to give arbitrary rotations.

Turns out to be centralizer

$$\begin{cases} [X, Y] = 0 \\ [\Theta, X] = -Y \\ [\Theta, Y] = X \end{cases}$$

↓  
∴ adjoint rep is faithful

$$aX + bY + c\Theta \rightsquigarrow \begin{matrix} & X & Y & \Theta \\ \begin{matrix} X \\ Y \\ \Theta \end{matrix} & \begin{pmatrix} & & \\ & c & -b \\ -c & & a \end{pmatrix} \end{matrix}$$

$$\text{Isom}^+(\mathbb{R}) \cong \text{SO}(2) \ltimes \mathbb{R}^2$$

$$\text{Lie}(\text{Isom}^+(\mathbb{R}))$$

this set of matrices

$$\begin{pmatrix} 0 & \theta & x \\ -\theta & 0 & y \\ 0 & 0 & 0 \end{pmatrix}$$

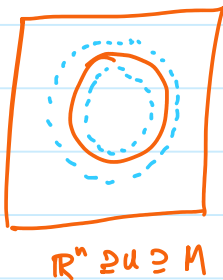
# Tubular Neighbourhood Theorem

$M \subseteq \mathbb{R}^n$  (embedded) submanifold

$$N_x M := \{ v \in \mathbb{R}^n : \langle v, w \rangle = 0 \ \forall w \in T_x M \}$$

$$NM := \bigsqcup_{x \in M} N_x M. \quad \parallel (T_x M)^\perp$$

normal bundle (n-dimensional)



$$NM \cong U \cong M. \quad \{0_x : x \in M\}$$

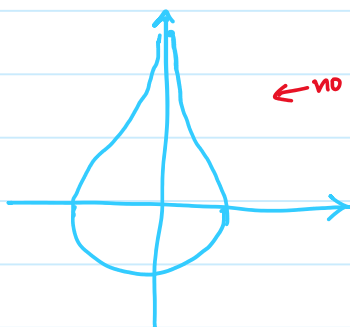
$$\pi : NM \rightarrow M$$

$$\forall x \mapsto x$$

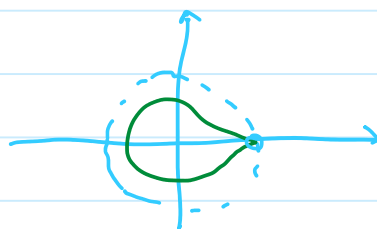
Theorem  $U \subseteq NM \subseteq \mathbb{R}^n$  and  $V \subseteq \mathbb{R}^n$  can be chosen s.t.  $\exists$  diffeo  $F: U \rightarrow V$   
 s.t.  $F|_{M_0} = \pi.$

Cor. If the normal bundle is trivial,  $\exists$  tubd of  $M$  which is diffeomorphic  $B(1, 0; \mathbb{R}^{n-\dim(M)}) \times M.$

Ex.

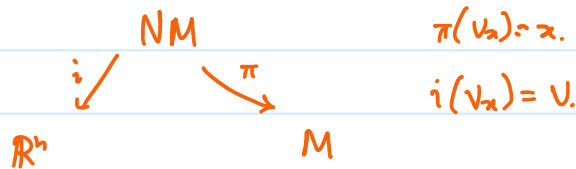


← no "uni form thickening"



Remark. The same is true when  $\mathbb{R}^n$  is replaced with  $\mathbb{Q}$ .  
 Need to do some more work to define NM...

Proof in compact case:



$$\phi_x: T_{v_x}(\text{NM}) \longrightarrow \mathbb{R}^n$$

$$\phi_x(w) := D\pi(w) + Di(w).$$

Claim:  $\phi_x$  is an isomorphism. (Show surjectivity and then use dim.)  $\otimes$

Define  $G: \text{NM} \rightarrow \mathbb{R}^n$  by  $(G = \pi + i)$   
 $v_x \mapsto x + v.$

Then,  $DG(v_x) = \phi_x$  is an iso.  $(\forall x \neq v_x)$

$\therefore G$  is locally invertible at every  $O_x$ .

For  $k \geq 1$ , let  $U_k \subseteq \text{NM}$  denote the set of normal vectors  $v_x$  s.t.  $\|v_x\| < 1/k$ .

Claim.  $\exists k$  s.t.  $G|_{U_k}$  is injective.

Proof. Suppose not.  $\exists (v_k)_{k \geq 1}, (v'_k)_{k \geq 1}$  s.t.

*we are suppressing base points for notational sake i.e.  $v_k, v'_k$*

$$\begin{array}{l}
 v_k, v'_k \in U_k, \\
 v_k \neq v'_k, \quad G(v_k) = G(v'_k).
 \end{array}$$

$$\forall k \geq 1$$

we have points  $v_k$  rotational set  
 But  $v_k, v_k'$  can have diff base points!

$$v_k, v_k' \in U_k, \quad \forall k \geq 1$$

$$v_k \neq v_k', \quad G(v_k) = G(v_k').$$

By compactness of  $\bar{U}_1$ , we may pass to subsequences and assume

$$v_k \rightarrow v \text{ and } v_k' \rightarrow v'$$

for some  $v, v' \in \bigcap U_k = M_0$ .

But  $G/M_0$  is a diffeo. So,  $v = v' = D_x$ .

But this is a contradiction since

$G$  is a local diffeo around  $Dx$   
 and  $v_k, v_k' \in U_k$  for high  $k$ .  $\square$

This finishes the proof since  $G$  is a local diffeo.  $\square$

### Thm. (Collar Neighbourhood Theorem)

Let  $M$  be a manifold with boundary.

Then,  $\exists$  nbhd  $U$  of  $\partial M$  s.t.  $U$  is diffeomorphic to  $\partial M \times [0, 1)$ , and the diffeo restricted to  $\partial M$  is "id".

